

# **Apparatus and Method for Joint Measurement Of Fields Of Scattered/Reflected Or Transmitted Orthogonally Polarized Beams By An Object In Interferometry**

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This application also claims the benefit of U.S. Provisional Application No. 60/459,425, filed April 1, 2003.

## **Technical Field**

10 This invention relates to the interferometric measurement of orthogonally polarized beams

## **Background of the Invention**

There are various ways of practicing non-ellipsometric interferometric confocal far-field and near-field microscopy. Some of those known ways involve using heterodyne techniques and a detector having a single detector element or  
15 having a relatively small number of detector elements. Others involve using a step and stare method with a traditional homodyne detection method for the acquisition of conjugated quadratures of fields of reflected/scattered beams when a detector is used that comprises a large number of detector elements.  $x(\phi) a \cos \phi$ . The  
respective conjugated quadrature of the field is  $a \sin \phi$  when the quadrature  $x(\phi)$  of  
20 a field is expressed as  $|a| \cos \phi$ . The step and stare method and the traditional homodyne detection method are used in order to obtain for each detector element a set of at least four electrical interference signal values with a substrate that is stationary with respect to the respective interferometric microscope during the stare portion of the step and stare method. The set of at least four electrical interference  
25 signal values are required to obtain for each detector element conjugated quadratures of fields of a measurement beam comprising a reflected and/or scattered

far-field or near-field from a spot in or on a substrate that is conjugate to the each detector element.

Still other ways of practicing interferometric ellipsometry involve using either a homodyne detection method or heterodyne techniques and a detector having  
5 a single detector element or having a relatively small number of detector elements.

It is also known to use a double homodyne detection method for non-ellipsometric applications based on using four detectors wherein each detector generates an electrical interference signal value used to determine a corresponding component of a conjugated quadratures of a field. See, for example, the discussion  
10 found in Section IV of the article by G. M D'ariano and M G. A. Paris entitled "Lower Bounds On Phase Sensitivity In Ideal And Feasible Measurements," *Phys. Rev. A* 49, 3022-3036 (1994). In that case, the four detectors generate four electrical interference signal values simultaneously and each electrical interference signal value contains information relevant to one conjugated quadratures  
15 component.

### **Summary of the Invention**

Various embodiments presented herein relate to making high spatial resolution confocal and non-confocal interferometric ellipsometric measurements. Some of the embodiments involve making joint measurements of fields of  
20 scattered/reflected or transmitted orthogonally polarized beams when operating in either a relatively fast scanning mode or a step and stare mode. Other embodiments involve making joint measurements of fields of scattered/reflected or transmitted orthogonally polarized beams wherein the joint measurements of the fields comprise joint measurements of conjugated quadratures of each of the fields when also  
25 operating in either a relatively fast scanning mode or a step and stare mode.

According to one particular embodiment, at least eight electrical interference signal values (four electrical interference signal values for each of two orthogonally polarized beams) are acquired with interferometric ellipsometric confocal and non-confocal microscopy that is operating in a relatively fast scanning mode and each of

the at least eight electrical interference signal values correspond to the same respective spot on or in the substrate and contain information that can be used for determining joint measurements in both spatial and temporal coordinates of conjugated quadratures of fields of scattered/reflected or transmitted orthogonally polarized beams.

Also, in accordance with the ideas presented herein, joint measurements are made of conjugated quadratures of fields of orthogonally polarized beams reflected from a measurement object in linear and angular displacement interferometers.

In addition, another implementation of the invention in the area of scanning high spatial resolution interferometric ellipsometric confocal and non-confocal microscopy uses variants of the bi- and quad-homodyne detection methods to obtain joint measurements of conjugated quadratures of fields of orthogonally polarized beams reflected/scattered or transmitted by a substrate with a detector having a large number of detector elements. For each spot in and/or on the substrate that is imaged, a corresponding set of eight electrical interference signal values is obtained. Each of the sets of eight electrical interference signal values contains information for determination of a joint measurement of respective conjugated quadratures of fields. Similarly, in the area of linear and angular displacement interferometry, joint measurements are made of conjugated quadratures of fields of orthogonally polarized beams reflected from a measurement object.

Still another implementation of the invention involves using the double homodyne detection method to make joint determinations of conjugated quadratures of fields wherein each electrical interference value contains information simultaneously about each of two orthogonal components of the conjugated quadratures in non-ellipsometric applications.

One variant of the bi-homodyne detection methods described herein obtains eight electrical interference signal values wherein each measured value of an electrical interference signal contains simultaneously information about two orthogonal components of a conjugated quadratures for each of two orthogonally polarized beams scattered/reflected or transmitted by an object. According to that variant of the bi-homodyne detection method, a single detector element is used for

each electrical interference signal value obtained and the input beam to the interferometer system includes at least four frequency components with frequency differences large compared to the frequency bandwidth of the detector for a joint measurement of each of the two conjugated quadratures. Two frequency  
5 components are used to generate electrical interference signal components corresponding to conjugated quadratures of a field of a measurement beam comprising a reflected/scattered or transmitted far-field or near-field from a spot in or on a measurement object that is conjugate to a detector element. The two other frequency components are used to generate two other electrical interference signal  
10 components corresponding to a respective second conjugated quadratures of the field of an orthogonally polarized component of the measurement beam reflected/scattered or transmitted from a spot in or on the measurement object that is conjugate to the detector element. Information about each of the first and second conjugated quadratures are obtained jointly as a consequence of the four frequency  
15 components being coextensive in space and having the same temporal window function in the interferometer system. The temporal window function when operating in a scanning mode corresponds to the window function of a respective set of pulses of the input beam to the interferometer system.

A variant of the quad-homodyne detection method is also discussed which  
20 uses two detectors and an input beam to an interferometer system that includes eight coextensive measurement beams and corresponding reference beams in the interferometer system simultaneously to obtain information about two orthogonal components of a conjugated quadratures for fields of two orthogonally polarized beams scattered/reflected or transmitted by an object. One detector element is used  
25 to obtain four electrical interference signal values and the second detector element is used to obtain four other of the eight electrical interference signal values.

The eight coextensive measurement beams and corresponding reference beams are generated in the interferometer system simultaneously by using an input beam that comprises eight frequency components wherein each frequency  
30 component corresponds to a measurement and corresponding reference beam. The frequency differences of the eight frequency components are such that the eight

frequency components are resolved by an analyzer into two non-overlapping beams. The two beams are incident on two different detector elements wherein each of the two beams comprises four different frequency components and the frequency differences of the four different frequency components of each of the two beams are large compared to the frequency bandwidth of the detectors. Each of the four frequency components incident on a first detector element of the two detector elements is used to generate an electrical interference signal component corresponding to a component of conjugated quadratures of fields of two orthogonal measurement beam components either reflected/scattered or transmitted in either the far-field or near-field regime from a spot in or on a measurement object that is conjugate to the detector element. The description for the second detector element with respect to four respective frequency components and components of conjugated quadratures is the same as the corresponding description with respect to the first detector element. Information about the components of the conjugated quadratures of scattered/reflected or transmitted orthogonally polarized fields are accordingly obtained jointly as a consequence of the eight frequency components being coextensive in space and having the same temporal window function in the interferometer system. The temporal window function when operating in a scanning mode corresponds to the window function of a respective set of four pulses of the input beam to the interferometer system.

Other variants of the quad-homodyne detection method are described wherein information about the components of the conjugated quadratures of fields of scattered/reflected or transmitted orthogonally polarized fields are obtained with either two pulses or in a single pulse of the input beam.

When operating in the scanning mode and using the variants of the bi- and quad-homodyne detection methods, conjugate sets of detector elements are defined and used. A conjugate set of detector elements comprise the pixels of the detector conjugate to the spot on or in the substrate at the times that the measurements are made of a corresponding set of electrical interference signal values.

For each of the frequency components of the input beam, reference and measurement beams are generated. In certain of the embodiments different phase

shift combinations are introduced between the respective reference and measurement beam components by shifting the frequencies of one or more of the frequency components of the input beam for acquiring a set of eight electrical interference signal values for each spot in or on the measurement object that is imaged. In other embodiments, different phase shift combinations are introduced between the respective reference and measurement beam components by shifting the relative phase of reference and measurement beam components for one or more of the frequency components of the input beam.

In general, in one aspect, the invention features a method of making interferometric measurements of an object. The method involves: generating an input beam that includes a plurality of component beams, each of which is at a different frequency and all of which are spatially coextensive with each other, some of the components beams having a first polarization and the rest having a second polarization that is orthogonal to the first polarization; deriving a plurality of measurement beams from the plurality of component beams, each of the plurality of measurement beams being at the frequency of the component beam from which it is derived; focusing the plurality of measurement beams onto a selected spot to produce a plurality of return measurement beams; combining each of the return measurement beams of the plurality of return measurement beams with a different corresponding reference beam of a plurality of reference beams to produce a plurality of interference beams; and acquiring a plurality of electrical interference signal values for the selected spot from the plurality of interference beams.

Other embodiments include one or more of the following features. Acquiring the plurality of electrical interference signal values involves, for each of the plurality of acquired electrical signal values, introducing a different combination of phase shifts between the return measurement and reference beams that produce each of the interference beams of said plurality of interference beams. Each of the plurality of electrical interference signal values contains information simultaneously about both fields of two orthogonally polarized beams coming from the selected spot. Each of the plurality of electrical interference signal values contains information simultaneously about both conjugated quadratures of each field of the two orthogonally polarized beams scattered,

reflected or transmitted by the object at the selected spot. The detector element includes a detector having a sensitivity that is characterized by a frequency bandwidth and the method further involves using frequencies for the plurality of component beams that separated from each other by at least an amount that is greater than the frequency  
5 bandwidth of the detector. The plurality of component beams includes an equal number of beams at each of said first and second polarizations. The plurality of component beams includes two beams having the first polarization and two beams having the second polarization. Alternatively, the plurality of component beams includes four beams having the first polarization and four beams having the second polarization. Introducing  
10 a different combination of phase shifts between the return measurement and reference beams that produce each of the interference beams of the plurality of interference beams involves introducing various frequency shifts into the frequencies of the beams of the plurality of component beams. Combining involves generating a plurality of interference beams that are coextensive in space and the method further involves focusing the  
15 plurality of interference beams onto a single detector element. Acquiring the plurality of electrical interference signal values from the plurality of interference beams involves acquiring eight electrical interference signal values. The method also includes from the plurality of acquired electrical interference signal values computing information about fields of orthogonally polarized beams that are scattered, reflected, or transmitted by the  
20 object at the selected spot.

In general, in another aspect, the invention features an interferometry system for making interferometric measurements of an object. The system includes: a beam generation module which during operation delivers an output beam that includes a first set of beams having a first polarization and a second set of beams having a second  
25 polarization that is orthogonal to the first polarization, wherein all of the beams of the first and second sets of beams are at a different frequency and within the output beam are coextensive in space, the beam generation module including a beam conditioner which during operation introduces a sequence of different shifts in a selected parameter of each of the beams of the first and second sets of beams, the selected parameter selected from a  
30 group consisting of phase and frequency; a detector assembly; and an interferometer constructed to produce from the output beam a first set of measurement beams having the

first polarization and a second set of measurement beams having the second polarization, said interferometer further constructed to image both the first and second sets of measurement beams onto a selected spot on the object to produce therefrom corresponding first and second sets of return measurement beams, and to combine the  
5 first and second sets of return measurement beams with a plurality of corresponding reference beams to produce a first and second set of interference beams and simultaneously image the first and second sets of interference beams onto the detector assembly.

Other embodiments include one or more of the following features. The  
10 measurement beams of the first and second sets of measurement beams are coextensive in space and share the same temporal window function. The beam conditioner by introducing the sequence of different shifts in the selected parameter of each of the beams introduces a different combination of phase shifts between the return measurement and reference beams that produce each of the interference beams of the plurality of  
15 interference beams. The detector assembly includes a detector element onto which the first and second sets of interference beams are simultaneously focused to generate an electrical interference signal value, wherein the electrical interference signal value contains information simultaneously about both fields of two orthogonally polarized beams coming from the selected spot. The electrical interference signal value contains  
20 information simultaneously about both conjugated quadratures of each field of the two orthogonally polarized beams scattered, reflected or transmitted by the object at the selected spot. The detector assembly includes a detector having a sensitivity that is characterized by a frequency bandwidth and wherein the frequencies of the beams of the first and second set of beams are separated from each other by at least an amount that is  
25 greater than the frequency bandwidth of the detector. The first and second sets of beams each includes two beams. Alternatively, the first and second sets of beams each includes four beams.

One advantage of at least one embodiment is that a one-dimensional, two-dimensional or three-dimensional image of a substrate may be obtained in  
30 interferometric confocal and non-confocal far-field and near-field microscopy when operating in a scanning mode with a relatively fast scan rate. The image comprises



a one-dimensional array, a two-dimensional array or a three-dimensional array of conjugated quadratures of fields of orthogonally polarized beams reflected/scattered or transmitted field by an object.

5 Another advantage of at least one embodiment is that information used in the determination of conjugated quadratures of fields of orthogonally polarized beams reflected/scattered or transmitted fields by a substrate are obtained jointly, *i.e.*, simultaneously.

10 Another advantage of at least one embodiment is that the conjugated quadratures of fields that are obtained jointly when operating in the scanning mode and using the variants of the bi- and quad-homodyne detection methods have reduced sensitivity to effects of pinhole-to-pinhole variations in properties of a conjugate set of pinholes used in a confocal microscopy system that are conjugate to a spot in or on the substrate being imaged at different times during the scan.

15 Another advantage of at least one embodiment is that the conjugated quadratures of fields that are obtained jointly when operating in the scanning mode and using the variants of the bi- and quad-homodyne detection methods have reduced sensitivity to effects of pixel-to-pixel variation of properties within a set of conjugate pixels that are conjugate to a spot in or on the substrate being imaged at different times during the scan.

20 Another advantage of at least one embodiment is that the conjugated quadratures of fields that are obtained jointly when operating in the scanning mode and using the variants of the bi- and quad-homodyne detection methods have reduced sensitivity to effects of pulse to pulse variations of a respective set of pulses of the input beam to the interferometer system.

25 Another advantage of at least one embodiment is an increased through-put for an interferometric far-field or near-field confocal or non-confocal microscope with respect to the number of spots in and/or on a substrate imaged per unit time using orthogonally polarized measurement beams.

30 Another advantage of at least one embodiment is reduced systematic errors in a one-dimensional, a two-dimensional or a three-dimensional image of a substrate

obtained in interferometric far-field and near-field confocal and non-confocal microscopy using orthogonally polarized measurement beams.

Another advantage of at least one embodiment is reduced sensitivity to vibrations in generating one-dimensional, two-dimensional or three-dimensional  
5 images of a substrate by interferometric far-field and near-field confocal and non-confocal microscopy using orthogonally polarized measurement beams.

Another advantage of at least one embodiment is reduced sensitivity to an overlay error of a spot in or on the substrate that is being imaged and a conjugate image of a conjugate pixel of a multipixel detector during the acquisition of eight  
10 electrical interference values of each spot in and/or on a substrate imaged using interferometric far-field and/or near-field confocal and non-confocal microscopy and orthogonally polarized measurement beams. Overlay errors are errors in the set of conjugate images of a respective set of conjugate detector pixels relative to the spot being imaged.

15 Another advantage of at least one embodiment is that in certain embodiments the phase of an input beam component does not affect values of respective measured conjugated quadratures as a result of using the variants of the bi- and quad-homodyne detection methods.

### **Brief Description of the Drawings**

20 Fig. 1a is a diagram of an interferometric system that uses the bi-homodyne and quad-homodyne detection methods.

Fig. 1b is a schematic diagram of a beam-conditioner configured to operate as a four-frequency generator.

Fig. 1c is a schematic diagram of a section of a beam-conditioner configured  
25 to operate as a two-frequency generator.

Fig. 2a is a schematic diagram of a confocal microscope system.

Fig. 2b is a schematic diagram of catadioptric imaging system.

Fig. 2c is a schematic diagram of a pinhole array used in a confocal microscope system.

Fig. 2d is a schematic diagram of an array of microgratings used in a confocal microscope system.

### **Detailed Description**

High speed, high spatial resolution imaging with high signal-to-noise ratios is required for example in inspection of masks and wafers in microlithography. Two techniques for obtaining high spatial resolution imaging with orthogonally polarized measurement beams and high signal-to-noise ratios are interferometric far-field and near-field confocal microscopy. However, the high signal-to-noise ratios with the high spatial resolution imaging generally limits data rates in part by the necessity to acquire conjugated quadratures of fields of a reflected/scattered or transmitted beam for each spot in and/on a substrate being imaged. The determination of conjugated quadratures when using a measurement beam comprising orthogonally polarized components requires the measurement of at least eight electrical interference signal values for the each spots in and/or on the substrate being imaged. Acquisition of the at least eight interference signal values for the each spots places tight restrictions on how large a rate of scan can be employed in generation of a one-dimensional, a two-dimensional or three-dimensional image of the substrate having artifacts down to of the order of 30 nm in size.

The use of variants of the bi- and quad-homodyne detection methods relaxes the tight restriction and permits significantly increased through-put in high spatial resolution ellipsometric imaging that has high signal-to-noise ratios for each spot being imaged. The tight restriction is relaxed as a consequence of a joint measurement of conjugated quadratures of fields of orthogonally polarized measurement beams scattered/reflected or transmitted by an object using a conjugate set of at least eight pinholes and a conjugate set of at least eight pixels for each spot being imaged wherein the temporal window function for the measured at least eight electrical interference signal values used in the determination of one component of conjugated quadratures of the fields is the same as the temporal

window function measured at least eight interference signal values used in the determination of each of the other three components of the conjugated quadratures of the fields. For the variant of the bi-homodyne detection method, the temporal window functions are made the same by using a different frequency component of an input beam for the determination of each component of the conjugated quadratures of the fields of orthogonally polarized measurement beams scattered/reflected or transmitted by an object. For the variant of the quad-homodyne detection method, the temporal window functions are made the same by using two different frequency components of an input beam for the determination of each component of the conjugated quadratures of the fields of orthogonally polarized measurement beams scattered/reflected or transmitted by an object. The set of four frequency components and the set of eight frequency components of the input beam for the bi- and quad-homodyne detection methods, respectively, are coextensive in spatial and temporal coordinates, *i.e.*, coextensive in space and have the same temporal window functions.

Several embodiments are described that comprise interferometric confocal and non-confocal far-field ellipsometric microscopy systems, interferometric confocal and non-confocal near-field microscopy systems, and linear displacement interferometers, *e.g.*, such as used in wavelength monitors, refractivity of gas monitors, monitors of the reciprocal dispersive power  $\Gamma$  of a gas, and dispersion interferometry. A general description of embodiments will first be given for interferometer systems wherein the variants of the bi- and quad-homodyne detection methods are used in interferometer systems for making joint measurements of conjugated quadratures of fields of orthogonally polarized beams beams scattered/reflected and or transmitted by a measurement object. Referring to Fig. **1a**, an interferometer system is shown diagrammatically comprising an interferometer **10**, a source **18**, a beam-conditioner **22**, detector **70**, an electronic processor and controller **80**, and a measurement object **60**. Source **18** is a pulsed or shuttered source that generates input beam **20** comprising one or more frequency components. Beam **20** is incident on and exits beam-conditioner **22** as input beam **24** that comprises two orthogonally polarized components. Each of the orthogonally

polarized components comprises two or more different frequency components. The measurement beam components of the frequency components of input beam **24** are coextensive in space and have the same temporal window function and the corresponding reference beam components are coextensive in space and have the same temporal window function.

Reference and measurement beams may be generated in either beam-conditioner **22** from a set of beams or in interferometer **10** for each of the two or four frequency components of input beam **24**. Measurement beam **30A** generated in either beam-conditioner **22** or in interferometer **10** is incident on substrate **60**.

Measurement beam **30B** is a return measurement beam generated as either a portion of measurement beam **30A** reflected and/or scattered by substrate **60** or a portion of measurement beam **30A** transmitted by substrate **60**. Return measurement beam **30B** is combined with the reference beam in interferometer **10** to form output beam **32**.

Output beam **32** is detected by detector **70** to generate either one or more electrical interference signals per source pulse for the variants of the bi-homodyne or quad-homodyne detection methods, respectively and transmitted as signal **72**. Detector **70** may comprise an analyzer to select common polarization states of the reference and return measurement beam components of beam **32** to form a mixed beam. Alternatively, interferometer **10** may comprise an analyzer to select common polarization states of the reference and return measurement beam components such that beam **32** is a mixed beam.

In practice, known phase shifts are introduced between the reference and measurement beam components of output beam **32** by two different techniques. In the first technique, phase shifts are introduced between corresponding reference and measurement beam components for each of the frequency components of output beam **32** as a consequence of a non-zero optical path difference between the reference and measurement beam paths in interferometer **10** and corresponding frequency shifts introduced to the frequency components of input beam **24** by beam-conditioner **22** and/or source **18** as controlled by signal **74** from electronic processor and controller **80**. In the second technique, phase shifts are introduced between the

reference and measurement beam components for each of the frequency components of input beam **24** by beam-conditioner **22** as controlled by signal **74** from electronic processor and controller **80**.

There are different ways to configure source **18** and beam-conditioner **22** to meet the input beam requirements of different embodiments. Reference is made to Fig. **1b** where a first embodiment of beam-conditioner **22** is shown schematically for the introduction of frequency shifts. Beam-conditioner **22** comprises two other beam-conditioners **510** and **1510**, polarizing beam-splitters **520** and **532**, and mirrors **522** and **534**. Input beam **20** comprises two orthogonally polarized frequency components that have planes of polarization parallel to and orthogonal to the plane of Fig. **1b**. The two frequency components of input beam **20** may be generated for example in source **18** as two different orthogonally polarized longitudinal excited modes of a laser. Input beam **20** is incident on polarizing beam-splitter **520** and a first portion thereof is transmitted as beam **524**. A second portion of input beam **20** that is incident polarizing beam-splitter **520** is reflected to form beam **1524** after reflection by mirror **522**. The frequencies of orthogonally polarized beams **524** and **1524** correspond to the two different frequencies of beam **24**. The plane of polarization of beam **524** is parallel to the plane of Fig. **1b** and the plane of polarization of beam **1524** is orthogonal to the plane of Fig. **1b**.

Beams **524** and **1524** are incident on beam-conditioners **510** and **1510**, respectively, and exit as beams **528** and **1528**, respectively. The planes of polarization of beams **528** and **1528** are parallel and orthogonal to the plane of Fig. **1b**, respectively. Beams **528** and **1528** each comprise two different frequency components and the two frequency components of beam **528** are different from the two frequency components of beam **1528**. The frequencies of beam **528** and **1528** are controlled by signal **74**.

Beams **528** and **1528** are subsequently combined to form beam **24** by polarizing beam-splitter **532** and mirror **534**. Beam **24** comprises two different frequency components with a plane of polarization parallel to the plane of Fig. **1b** and two other different frequency components with a plane of polarization that is

orthogonal to the plane of Fig. 1b. The frequencies of each of the four different frequency components are individually controlled by signal 74.

Reference is made to Fig. 1c where beam-conditioner 510 is shown schematically. Signal 74 comprises a first signal component for the control of the frequencies of beam-conditioner 510 and a second signal component for the control of the frequencies of beam-conditioner 1510. Beam-conditioner 510 is configured to receive an input beam with a plane of polarization parallel to the plane of Fig. 1c and beam-conditioner 1510 is configured to receive an input beam with a plane of polarization orthogonal to the plane of Fig. 1c. The planes of polarization of the output beams of beam-conditioners 510 and 1510 are the same as the planes of polarization of the respective input beams.

Beam-conditioner 510 comprises acousto-optic modulators 1120, 1126, 1130, 1132, 1142, 1146, 1150, 1154, 1058, and 1062; beam-splitter 1168; and mirror 1166. Input beam 524 is incident on acousto-optic modulator 1120 with a plane of polarization parallel to the plane of Fig. 1c. A first portion of beam 524 is diffracted by acousto-optic modulator 1120 as beam 1122 and then by acousto-optic modulator 1126 as beam 1128 having a polarization parallel to the plane of Fig. 1c. A second portion of beam 524 is transmitted as a non-diffracted beam 1124 having a plane of polarization parallel to the plane of Fig. 1c. The acoustic power to acousto-optic modulator 1120 is adjusted such that beams 1122 and 1124 have nominally the same intensity.

Acousto-optic modulators 1120 and 1126 may be of either the non-isotropic Bragg diffraction type or of the isotropic Bragg diffraction type. The frequency shifts introduced by acousto-optic modulators 1120 and 1126 are of the same sign and equal to  $1/2$  of a frequency shift  $\Delta f_1$  that will generate in interferometer 10 a  $\pi/2 \bmod 2\pi$  phase difference between a reference and a measurement beams that have a difference in frequency equal to the frequency shift. The direction of propagation of beam 1128 is parallel to the direction of propagation of beam 1124.

Continuing with Fig. 1c, beam 1128 is incident on acousto-optic modulator 1132 and is either diffracted by acousto-optic modulator 1132 as beam 1134 or

transmitted by acousto-optic modulator **1132** as beam **1136** according to control signal **74** from electronic processor and controller **80**. When beam **1134** is generated, beam **1134** is diffracted by acousto-optic modulators **1142**, **1146**, and **1150** as a frequency-shifted beam component of beam **1152**. The frequency shifts introduced by acousto-optic modulators **1132**, **1142**, **1146**, and **1150** are all in the same direction and equal in magnitude to  $\Delta f_2/2$  where frequency shift  $\Delta f_2$  will generate in interferometer **10** a  $\pi/2 \bmod \pi$  phase difference between a reference and a measurement beams that have a difference in frequency equal to the frequency shift  $\Delta f_2$ . Thus the net frequency shift introduced by acousto-optic modulators **1132**, **1142**, **1146**, and **1150** is  $\pm 2\Delta f_2$  and will generate a relative  $\pi$  phase mod  $2\pi$  between the respective reference and measurement beams in interferometer **10**. The net frequency shift introduced by acousto-optic modulators **1120**, **1126**, **1132**, **1142**, **1146**, and **1150** is  $\Delta f_1 \pm 2\Delta f_2$  and will generate a respective relative phase shift of  $\pi/2 \pm \pi \bmod 2\pi$  between the respective reference and measurement beams in interferometer **10**. Frequency shifts  $\Delta f_1$  and  $\Delta f_2$  may be equal in magnitude.

When beam **1136** is generated, beam **1136** is transmitted by acousto-optic modulator **1150** as a non-frequency shifted beam component of beam **1152** with respect to beam **1128**. The frequency shift introduced by acousto-optic modulators **1120**, **1126**, **1132**, and **1150** is  $\Delta f_1$  and will generate a respective relative phase shift of  $\pi/2 \bmod 2\pi$  between the respective reference and measurement beams in interferometer **10**. The planes of polarization of the two different frequency-shifted components of beam **1152** are parallel to the plane of Fig. **1c**.

Beam **1124** is incident on acousto-optic modulator **1130** and is either diffracted by acousto-optic modulator **1130** as beam **1140** or transmitted by acousto-optic modulator **1130** as beam **1138** according to control signal **74** from electronic processor and controller **80**. When beam **1140** is generated, beam **1140** is diffracted by acousto-optic modulators **1154**, **1158**, and **1162** as a frequency shifted beam component of beam **1164**. The frequency shifts introduced by acousto-optic modulators **1130**, **1154**, **1158**, and **1162** are all in the same direction and equal to



$\pm\Delta f_3/2$  where frequency shift  $\Delta f_3$  will generate in interferometer **10** a  $\pi/2 \bmod \pi$  phase difference between a reference and a measurement beams that have a difference in frequency equal to the frequency shift  $\Delta f_3$ . Thus the net frequency shift introduced by acousto-optic modulators **1130**, **1154**, **1158**, and **1162** is  $\pm 2\Delta f_3$  and will generate a relative phase shift of  $\pi \bmod 2\pi$  between the respective reference and measurement beams in interferometer **10**. The net frequency shift introduced by acousto-optic modulators **1120**, **1130**, **1154**, **1158**, and **1162** is  $\pm 2\Delta f_3$  and will generate a respective relative phase shift of  $\pm\pi \bmod 2\pi$  between the respective reference and measurement beams in interferometer **10**.

When beam **1138** is generated, beam **1138** is transmitted by acousto-optic modulator **1162** as a non-frequency shifted beam component of beam **1164**. The frequency shift introduced by acousto-optic modulators **1120**, **1130**, and **1162** is 0 and will generate a respective relative phase shift of 0 between the respective reference and measurement beams in interferometer **10**. The planes of polarization of the frequency-shifted and non-frequency-shifted components of beam **1164** are parallel to the plane of Fig. **1c**.

Beams **1152** and **1164** are combined to form beam **528**. Beam **1152** is transmitted by non-polarizing beam-splitter **1168** as a component of beam **528** that has a plane of polarization parallel to the plane of Fig. **1c**. Beam **1164** is reflected by non-polarizing beam-splitter **1168** after reflection by mirror **1166** as a second component of beam **528** that has a plane of polarization parallel to the plane of Fig. **1c**. Acousto-optic modulators **1120**, **1126**, **1130**, **1132**, **1142**, **1146**, **1150**, **1154**, **1058**, and **1062** may be either of the non-isotropic Bragg diffraction type or of the isotropic Bragg diffraction type.

The description of beam-conditioner **1510** is the same as the corresponding portions of the description of beam-conditioner **510** except with respect to magnitudes of frequency shifts introduced by the two beam-conditioners, the control by signal **74**, and to the state of polarization of respective input beams. The description of frequency shifts  $\Delta f_{10}$ ,  $\Delta f_{20}$ , and  $\Delta f_{30}$  introduced by beam-conditioner **1510** is the same as the corresponding description of the frequency

shifts  $\Delta f_1$ ,  $\Delta f_2$ , and  $\Delta f_3$ , respectively, introduced by beam-conditioner **510**. The selection of the frequency shifts  $\Delta f_1$ ,  $\Delta f_2$ ,  $\Delta f_3$ ,  $\Delta f_{10}$ ,  $\Delta f_{20}$ ,  $\Delta f_{30}$ , and the frequency difference between the two frequency components of beam **20** is made such that as noted earlier beams **528** and **1528** each comprise two different frequency components and the two frequency components of beam **528** are different from the two frequency components of beam **1528**.

Examples beam-conditioners of the second technique comprise combinations of a two frequency generator and phase shifting type of beam-conditioner such as described in cited U.S. Provisional Patent Application Serial No. 60/442,858 (ZI-47), filed January 27, 2003 and in U.S. Patent Application Serial Number 10/765,369, filed January 27, 2004 (ZI-47), entitled "Apparatus and Method for Joint Measurements of Conjugated Quadratures of Fields of Reflected/Scattered and Transmitted Beams by an Object in Interferometry," the contents of both of which are incorporated herein by reference. In this case, beam-conditioners **510** and **1510** each comprise two frequency generator and phase shifting type beam-conditioner.

With a continuation of the description of different ways to configure source **18** and beam-conditioner **22** to meet the input beam requirements of different embodiments, source **18** will preferably comprise a pulsed source. There are a number of different ways for producing a pulsed source [see Chapter 11 entitled "Lasers", *Handbook of Optics*, 1, 1995 (McGraw-Hill, New York) by W. Silfvast]. Each pulse of source **18** may comprise a single pulse or a train of pulses such as generated by a mode locked Q-switched Nd:YAG laser. A single pulse train is referenced herein as a pulse. The word "pulse" and the phrase "a pulse train" are used herein interchangeably.

Source **18** may be configured in certain embodiments to generate two or more frequencies by techniques such as described in a review article entitled "Tunable, Coherent Sources For High-Resolution VUV and XUV Spectroscopy" by B. P. Stoicheff, J. R. Banic, P. Herman, W. Jamroz, P. E. LaRocque, and R. H. Lipson in *Laser Techniques for Extreme Ultraviolet Spectroscopy*, T.J. McIlrath and R.R. Freeman, Eds., (American Institute of Physics) p 19 (1982) and references

therein. The techniques include for example second and third harmonic generation and parametric generation such as described in the articles entitled "Generation of Ultraviolet and Vacuum Ultraviolet Radiation" by S. E. Harris, J. F. Young, A. H. Kung, D. M. Bloom, and G. C. Bjorklund in *Laser Spectroscopy I*, R. G. Brewer and A. Mooradi, Eds. (Plenum Press, New York) p 59, (1974) and "Generation of Tunable Picosecond VUV Radiation" by A. H. Kung, *Appl. Phys. Lett.* **25**, p 653 (1974). The contents of the three cited articles are herein incorporated in their entirety by reference.

The output beams from source **18** comprising two or more frequency components may be combined in beam-conditioner **22** by beam-splitters to form coextensive measurement and reference beams that are either spatially separated or coextensive as required in various embodiments. When source **18** is configured to furnish two or more frequency components, the frequency shifting of the various components required in certain embodiments may be introduced in source **18** for example by frequency modulation of input beams to parametric generators and the phase shifting of reference beams relative to measurement beams in beam-conditioner **22** may be achieved by phase shifters of the optical-mechanical type comprising for example prisms or mirrors and piezoelectric translators or of the electro-optical modulator type.

The general description is continued with reference to Fig. **1a**. Input beam **24** is incident on interferometer **10** wherein reference beams and measurement beams are generated. The reference beams and measurement beams comprise two arrays of reference beams and two arrays of measurement beams wherein the arrays may comprise arrays of one element. The arrays of measurement beams are focused on and/or in substrate **60** and arrays of return measurement beams are generated by reflection/scattering by the substrate. In the case of single element arrays for the reference beams and measurement beams, the measurement beams are generally reflected by substrate **60**. The arrays of reference beams and return measurement beams are combined by a beam splitter to form two arrays of output beams. The arrays of output beams are mixed with respect to state of polarization either in interferometer **10** or in detector **70**. The arrays of output beams are subsequently

focused to spots on pixels of a multipixel detector and detected to generate electrical interference signal 72.

The conjugated quadratures of fields of return measurement beams are obtained by using a variant of the bi- or quad-homodyne detection methods such as described in commonly owned U.S. Provisional Patent Application Serial No. 60/442,858 (ZI-47) entitled "Apparatus and Method for Joint Measurements of Conjugated Quadratures of Fields of Reflected/Scattered Beams by an Object in Interferometry" and in U.S. Patent Application Serial No. 10/765,369, filed January 27, 2004 (ZI-47) and entitled "Apparatus and Method for Joint Measurements of Conjugated Quadratures of Fields of Reflected/Scattered and Transmitted Beams by an Object in Interferometry" both of which are by Henry A. Hill. The contents of both the cited U.S. Provisional Patent Application and the U.S. Patent Application are incorporated herein in their entirety by reference.

Referring to the variant of the bi-homodyne detection method used in some embodiments, a set of eight electrical interference signal values are obtained for each spot on and/or in substrate 60 being imaged. The set of eight electrical interference signal values  $S_j$ ,  $j = 1, 2, \dots, 8$  used for obtaining conjugated quadratures of fields for a single a spot on and/or in a substrate being imaged is represented for the bi-homodyne detection within a scale factor by the formula

20

$$S_j = P_j \sum_{m=1}^4 \left\{ \begin{array}{l} \xi_j^2 |A_m|^2 + \zeta_j^2 |B_m|^2 + \eta_j^2 |C_m|^2 \\ + \zeta_j \eta_j 2 |B_m| |C_m| \cos \phi_{B_m C_m} \epsilon_{m,j} \\ + \xi_j \zeta_j 2 |A_m| |B_m| \cos \phi_{A_m B_m} \epsilon_{m,j} \\ + \epsilon_{m,j} \xi_j \eta_j \left[ 1 - (-1)^m \right] |A_m| |C_m| \cos \phi_{A_m C_m} \\ + \epsilon_{m,j} \xi_j \eta_j \left[ 1 + (-1)^m \right] |A_m| |C_m| \sin \phi_{A_m C_m} \end{array} \right\} \quad (1)$$

where coefficient  $A_m$  represents the amplitude of the reference beam corresponding to the frequency component of the input beam 24 that has the index  $m$ ; coefficient

$B_m$  represent the amplitude of the background beam corresponding to reference beam  $A_m$ ; coefficient  $C_m$  represents the amplitude of the return measurement beam corresponding to reference beam  $A_m$ ;  $P_j$  represents the integrated intensity of the first frequency component of input beam 24 pulse  $j$  of a sequence of 8 pulses; and  
5 an example set of values for  $\epsilon_{m,j}$  are listed in Table 1. There are other sets of values for  $\epsilon_{m,j}$  that may be used wherein the other sets of values for  $\epsilon_{m,j}$  satisfy the conditions set out in subsequent Equations (2) and (3) herein.

The change in the values of  $\epsilon_{m,j}$  from 1 to -1 or from -1 to 1 corresponds to changes in relative phases of respective reference and measurement beams. The  
10 coefficients  $\xi_j$ ,  $\zeta_j$ , and  $\eta_j$  represent effects of variations in properties of a conjugate set of eight pinholes such as size and shape if used in the generation of the spot on and/or in substrate 60 and the sensitivities of a conjugate set of eight detector pixels corresponding to the spot on and/or in substrate 60 for the reference beam, the background beam, and the return measurement beam, respectively.

15 The relationships  $\cos \phi_{A_2 C_2} = \sin \phi_{A_1 C_1}$  and  $\cos \phi_{A_4 C_4} = \sin \phi_{A_3 C_3}$  have been used in deriving Equation (1) without departing from either the scope or spirit of the present invention since  $\cos \phi_{A_2 C_2} = \pm \sin \phi_{A_1 C_1}$  and  $\cos \phi_{A_4 C_4} = \pm \sin \phi_{A_3 C_3}$  by control of the relative phase shifts between corresponding reference and return measurement beam components in beam 32.

20 It has also been assumed in Equation (1) that the ratios  $|A_2|/|A_1|$  and  $|A_4|/|A_3|$  are not dependent on  $j$  or on the value of  $P_j$ . In order to simplify the representation of  $S_j$  so as to project the important features without departing from either the scope or spirit of the present invention, it is also assumed in Equation (1) that the corresponding ratios of the amplitudes of the return measurement beams are  
25 not dependent on  $j$  or on the value of  $P_j$ . However, the ratios  $|C_2|/|C_1|$  and  $|C_4|/|C_3|$  will be different from the ratio  $|A_2|/|A_1|$  and  $|A_4|/|A_3|$ , respectively, when the ratios of the amplitudes of the measurement beam components corresponding to

$A_2$  and  $A_1$  are different from the ratio  $|A_2|/|A_1|$  and corresponding to  $A_4$  and  $A_3$  are different from the ratio  $|A_4|/|A_3|$ .

Table 1

$\epsilon_{m,j}$

$j \backslash m$	1	2	3	4
1	1	1	-1	1
2	1	-1	1	-1
3	-1	-1	1	1
4	-1	1	-1	-1
5	1	1	1	1
6	1	-1	-1	-1
7	-1	-1	-1	1
8	-1	1	1	-1

10 The change in phase  $\phi_{A_m B_m \epsilon_{m,j}}$  for a change in  $\epsilon_{m,j}$  may be different from  $\pi$  for embodiments where phase shifts are introduced between the arrays of reference and measurement beams by changing the frequency of an input beam component. It may be of value in evaluating the effects of the background beams to note that the factor  $\cos \phi_{B_m C_m \epsilon_{m,j}}$  may be written as

15  $\cos \left[ \phi_{A_m C_m} + \left( \phi_{B_m C_m \epsilon_{m,j}} - \phi_{A_m C_m} \right) \right]$  where the phase difference  $\left( \phi_{B_m C_m \epsilon_{m,j}} - \phi_{A_m C_m} \right)$  is the same as the measured phase  $\phi_{A_m B_m \epsilon_{m,j}}$ .

It is evident from inspection of Equation (1) that the components of conjugated quadratures  $\epsilon_{m,j}|C_m|\cos\phi_{A_m C_m}$  and  $\epsilon_{m,j}|C_m|\sin\phi_{A_m C_m}$  in Equation (1) are functions that have mean values of zero since

$$\sum_{j=1}^8 \epsilon_{m,j} = 0, \quad m = 1, 2, 3, 4. \quad (2)$$

Another important property is that the conjugated quadratures  $\epsilon_{m,j}|C_m|\cos\phi_{A_m C_m}$  and  $\epsilon_{m',j}|C_{m'}|\sin\phi_{A_{m'} C_{m'}}$  are orthogonal over the range of  $m = 1, 2, 3, 4$  for  $m \neq m'$  since  $\epsilon_{m,j}$  and  $\epsilon_{m',j}$  are orthogonal over the range of  $j = 1, 2, \dots, 8$ , i.e.,

$$\sum_{j=1}^8 \epsilon_{m,j} \epsilon_{m',j} = 8\delta_{m,m'} \quad (3)$$

where  $\delta_{m,m'}$  is the Kronecker delta defined by

$$\begin{aligned} \delta_{m,m'} &= 1 \quad \text{for } m = m', \\ \delta_{m,m'} &= 0 \quad \text{for } m \neq m'. \end{aligned} \quad (4)$$

Information about conjugated quadratures  $|C_m|\cos\phi_{A_m C_m}$  and  $|C_m|\sin\phi_{A_m C_m}$  are obtained using a digital filter  $F_m(S_j)$  on signals  $S_j$  that are based on the orthogonality properties of the  $\epsilon_{m,j}$  as represented by (3). The definition of  $F_m(S_j)$  and the output of digital filter  $F_m(S_j)$  are

$$\begin{aligned}
F_m(S_j) &= \sum_{j=1}^8 \epsilon_{m,j} \frac{S_j}{P_j' \xi_j'^2} \\
&= \sum_{m'=1}^4 |A_{m'}|^2 \sum_{j=1}^8 \epsilon_{m,j} \left( \frac{P_j}{P_j'} \right) \left( \frac{\xi_j^2}{\xi_j'^2} \right) + \sum_{m'=1}^4 |B_{m'}|^2 \sum_{j=1}^8 \epsilon_{m,j} \left( \frac{P_j}{P_j'} \right) \left( \frac{\zeta_j^2}{\xi_j'^2} \right) \\
&\quad + \sum_{m'=1}^4 |C_{m'}|^2 \sum_{j=1}^8 \epsilon_{m,j} \left( \frac{P_j}{P_j'} \right) \left( \frac{\eta_j^2}{\xi_j'^2} \right) \\
&\quad + \left[ 1 - (-1)^m \right] |A_m| |C_m| \cos \varphi_{A_m C_m} \sum_{j=1}^8 \left( \frac{P_j}{P_j'} \right) \left( \frac{\xi_j \eta_j}{\xi_j'^2} \right) \sum_{m'=1}^4 \epsilon_{m,j} \epsilon_{m',j} \\
&\quad + \left[ 1 + (-1)^m \right] |A_m| |C_m| \sin \varphi_{A_m C_m} \sum_{j=1}^8 \left( \frac{P_j}{P_j'} \right) \left( \frac{\xi_j \eta_j}{\xi_j'^2} \right) \sum_{m'=1}^4 \epsilon_{m,j} \epsilon_{m',j} \\
&\quad + 2 \sum_{m'=1}^4 |A_{m'}| |B_{m'}| \sum_{j=1}^8 \epsilon_{m,j} \left( \frac{P_j}{P_j'} \right) \left( \frac{\xi_j \zeta_j}{\xi_j'^2} \right) \cos \varphi_{A_{m'} B_{m'}} \epsilon_{m',j} \\
&\quad + 2 \sum_{m'=1}^4 |B_{m'}| |C_{m'}| \sum_{j=1}^8 \epsilon_{m,j} \left( \frac{P_j}{P_j'} \right) \left( \frac{\zeta_j \eta_j}{\xi_j'^2} \right) \cos \varphi_{B_{m'} C_{m'}} \epsilon_{m',j} .
\end{aligned} \tag{5}$$

where  $\xi_j'$  and  $P_j'$  are values used in the digital filter to represent  $\xi_j$  and  $P_j$ , respectively.

5 The parameters

$$\left[ \left( \frac{|A_2|}{|A_1|} \right) \left( \frac{|C_2|}{|C_1|} \right) \right], \tag{6}$$

$$\left[ \left( \frac{|A_4|}{|A_3|} \right) \left( \frac{|C_4|}{|C_3|} \right) \right] \tag{7}$$



need to be determined in order complete the determination of a conjugated  
quadratures. The parameters given in Equations (6) and (7) can be measured for  
example by introducing  $\pi/2$  phase shifts into the relative phase of the reference  
beam and the measurement beam and repeating the measurement for the conjugated  
5 quadratures. The ratios of the amplitudes of the conjugated quadratures  
corresponding to  $(\sin \phi_{A_1 C_1} / \cos \phi_{A_1 C_1})$  and  $(\sin \phi_{A_3 C_3} / \cos \phi_{A_3 C_3})$  from the first  
measurement divided by the ratios of the amplitudes of the conjugated quadratures  
corresponding to  $(\sin \phi_{A_1 C_1} / \cos \phi_{A_1 C_1})$  and  $(\sin \phi_{A_3 C_3} / \cos \phi_{A_3 C_3})$ , respectively,  
from the second measurement are equal to

10

$$\left[ \left( \frac{|A_2|}{|A_1|} \right) \left( \frac{|C_2|}{|C_1|} \right) \right]^2, \quad (8)$$

$$\left[ \left( \frac{|A_4|}{|A_3|} \right) \left( \frac{|C_4|}{|C_3|} \right) \right]^2, \quad (9)$$

15 respectively.

Note that certain of the factors in Equation (5) have nominal values of 8  
within a scale factor, *e.g.*,

$$\sum_{j=1}^8 \left( \frac{P_j}{P_j'} \right) \left( \frac{\xi_j \eta_j}{\xi_j'^2} \right) \sum_{m'=1}^4 \epsilon_{m,j} \epsilon_{m',j} \cong \sum_{j=1}^8 \left( \frac{P_j}{P_j'} \right) \left( \frac{\xi_j \eta_j}{\xi_j'^2} \right) \delta_{m,m'} \cong 8 \delta_{m,m'} \quad (10)$$

20

where  $\delta_{m,m'}$  is the Kronecker delta defined by Equation (4). The scale factors  
corresponds to the average value for the ratio of  $(\xi_j')^2 / (\xi_j \eta_j)$  assuming that the  
average values of  $P_j / P_j' \cong 1$ .

Certain other of the factors in Equations (5) have nominal values of zero,

*e.g.*,

$$\begin{aligned} \sum_{j=1}^8 \epsilon_{m,j} \left( \frac{P_j}{P_j'} \right) \left( \frac{\xi_j^2}{\xi_j'^2} \right) &\equiv 0, \quad \sum_{j=1}^8 \epsilon_{m,j} \left( \frac{P_j}{P_j'} \right) \left( \frac{\zeta_j^2}{\xi_j'^2} \right) \equiv 0, \\ \sum_{j=1}^8 \epsilon_{m,j} \left( \frac{P_j}{P_j'} \right) \left( \frac{\eta_j^2}{\xi_j'^2} \right) &\equiv 0. \end{aligned} \tag{11}$$

5

The remaining factors,

$$\begin{aligned} \sum_{j=1}^8 \epsilon_{m,j} \left( \frac{P_j}{P_j'} \right) \left( \frac{\xi_j \zeta_j}{\xi_j'^2} \right) \cos \phi_{A_m' B_m' \epsilon_{m',j}} , \\ \sum_{j=1}^8 \epsilon_{m,j} \left( \frac{P_j}{P_j'} \right) \left( \frac{\zeta_j \eta_j}{\xi_j'^2} \right) \cos \phi_{B_m' C_m' \epsilon_{m',j}} \end{aligned} \tag{12}$$

- 10 will have nominal magnitudes ranging from of approximately zero to approximately 8 times a cosine factor and either the average value of factor  $(P_j/P_j')(\xi_j \zeta_j / \xi_j'^2)$  or  $(P_j/P_j')(\zeta_j \eta_j / \xi_j'^2)$  depending on the properties respective phases. For portion of the background with phases that do not track to a first approximation the phases of the respective measurement beams, the magnitudes of all of the terms listed in the
- 15 Equation (12) will be approximately zero. For the portion of the background with phases that do track to a first approximation the phases of the respective measurement beams, the magnitudes of the terms listed in Equation (12) will be approximately 8 times a cosine factor and either the average value of factor  $(P_j/P_j')(\xi_j \zeta_j / \xi_j'^2)$  or factor  $(P_j/P_j')(\zeta_j \eta_j / \xi_j'^2)$ .

20

The two potentially largest terms in Equations (5) are generally the terms that have the factors  $\sum_{m'=1}^4 |A_{m'}|^2$  and  $\sum_{m'=1}^4 |B_{m'}|^2$ . However, the corresponding terms are substantially eliminated in embodiments using the bi-homodyne detection method as result of the properties of the factors listed in  
5 Equation (11).

The largest contribution from effects of background is represented by the contribution to the interference term between the reference beam and the portion of the background beam generated by the measurement beam **30A**. This portion of the effect of the background can be measured in embodiments of the bi-homodyne  
10 detection method by measuring the corresponding conjugated quadratures of the portion of the background with the return measurement beam component of beam **32** set equal to zero, *i.e.*, measuring the respective electrical interference signals  $S_j$  with substrate **60** removed and with either  $|A_2|=0$  or  $|A_1|=0$  and visa versa and with either  $|A_4|=0$  or  $|A_3|=0$  and visa versa. The measured conjugated  
15 quadratures of the portion of the effect of the background can than be used to compensate for the respective background effects beneficially in an end use application if required.

Information about the largest contribution from effects of background amplitude  $\xi_j \zeta_j 2A_m B_m$  and phase  $\phi_{A_m B_m \varepsilon_{m,j}}$ , *i.e.*, the interference term between the  
20 reference beam and the portion of background beam generated by the measurement beam **30A**, may be obtained by measuring  $S_j$  for  $j=1,2,\dots,8$  as a function of relative phase shift between reference beam and the measurement beam **30A** with substrate **60** removed and  $A_p=0$ ,  $p \neq m$ , and Fourier analyzing the measured values of  $S_j$ . Such information can be used to help identify the origin of the  
25 respective background.

Other techniques may be incorporated to reduce and/or compensate for the effects of background beams without departing from either the scope or spirit of the present invention such as described in commonly owned U.S. Patent Nos. 5,760,901

entitled "Method And Apparatus For Confocal Interference Microscopy With Background Amplitude Reduction and Compensation," 5,915,048 entitled "Method and Apparatus for Discrimination In-Focus Images from Out-of-Focus Light Signals from Background and Foreground Light Sources," and 6,480,285 B1  
5 wherein each of the three patents are by Henry A. Hill. The contents of each of the three cited patents are incorporated herein in their entirety by reference.

The selection of values for  $\xi'_j$  is based on information about coefficients  $\xi_j$  for  $j = 1, 2, \dots, 8$  that may be obtained by measuring the  $S_j$  for  $j = 1, 2, \dots, 8$  with only the reference beam present in the interferometer system. In certain  
10 embodiments, this may correspond simply blocking the measurement beam components of input beam 24 and in certain other embodiments, this may correspond to simply measuring the  $S_j$  for  $j = 1, 2, \dots, 8$  with substrate 60 removed.

A test of the correctness of a set of values for  $\xi'_j$  is the degree to which the

$\sum_{m'=1}^4 |A_{m'}|^2$  term in Equation (5) is zero.

15 Information about coefficients  $\xi_j \eta_j$  for  $j = 1, 2, \dots, 8$  may be obtained for example by scanning an artifact past the respective eight conjugate spots corresponding to the respective eight conjugate detector pixels with one of the  $A_p \neq 0$  and the remaining  $A_p = 0$  for  $p = 1, 2, 3, 4$  and measuring the conjugated quadratures component  $2|A_p||C_p|\cos\phi_{A_p C_p}$  or  $2|A_p||C_p|\sin\phi_{A_p C_p}$ , respectively. A  
20 change in the amplitude of the  $2|A_p||C_p|\cos\phi_{A_p C_p}$  or  $2|A_p||C_p|\sin\phi_{A_p C_p}$  term corresponds to a variation in  $\xi_j \eta_j$  as a function of  $j$ . Information about the coefficients  $\xi_j \eta_j$  for  $j = 1, 2, \dots, 8$  may be used for example to monitor the stability of one or more elements of interferometer system 10.

The variant of the bi-homodyne detection method is a robust technique for  
25 the determination of conjugated quadratures of fields. First, the conjugated quadratures  $|C_m|\cos\phi_{A_m C_m}$  and  $|C_m|\sin\phi_{A_m C_m}$  for each of the orthogonally

polarized beams are the primary terms in the digitally filtered values  $F_m(S)$  as expressed by Equations (5) since as noted in the discussion with respect to Equation (11), the terms with the factors  $\sum_{m'=1}^4 |A_{m'}|^2$  and  $\sum_{m'=1}^4 |B_{m'}|^2$  are substantially zero.

5 Secondly, the coefficients of  $|C_m|\cos\phi_{A_m C_m}$  and  $|C_m|\sin\phi_{A_m C_m}$  terms in Equations (5) are identical. Thus highly accurate measurements of the interference terms between the return measurement beam and the reference beam with respect to amplitudes and phases, *i.e.*, highly accurate measurements of conjugated quadratures of fields can be measured wherein first order variations in  $\xi_j$  and first  
10 order errors in normalizations such as  $(P_j/P'_j)$  and  $(\xi_j^2/\xi_j'^2)$  enter in only second or higher order. This property translates into a significant advantage. Also, the contributions to each component of the conjugated quadratures  $|C_m|\cos\phi_{A_m C_m}$  and  $|C_m|\sin\phi_{A_m C_m}$  for each of the orthogonally polarized beams from a respective set of eight electrical interference signal values have the same window function and thus  
15 are obtained as jointly determined values.

Other distinguishing features of the variant of the bi-homodyne technique are evident in Equations (5): the coefficients of the conjugated quadratures  $|C_m|\cos\phi_{A_m C_m}$  and  $|C_m|\sin\phi_{A_m C_m}$  for each of the orthogonally polarized beams in respective equations of Equations (5) are identical independent of errors in assumed  
20 values for  $\xi_j$  and  $\eta_j$ ; and the coefficients of reference intensity terms  $|A_m|^2$  can be made to be substantially zero by the selection of values for  $\xi_j'$ . Thus highly accurate values of the phases corresponding to conjugated quadratures can be measured with first order variations in  $\xi_j$  and first order errors in normalizations such as  $(P_j/P'_j)$  and  $(\xi_j^2/\xi_j'^2)$  entering in only through some high order effect.

It is also evident that since the conjugated quadratures of fields of orthogonally polarized beams are obtained jointly when using the variant of the bi-homodyne detection method, there is a significant reduction in the potential for an error in tracking phase as a result of a phase redundancy unlike the situation  
5 possible in traditional homodyne detection of conjugated quadratures of fields.

There are a number of advantages of the variant of the bi-homodyne detection method as a consequence of the conjugated quadratures of fields of orthogonally polarized beams being jointly acquired quantities. One advantage is a reduced sensitivity the effects of an overlay error of a spot in or on the substrate that  
10 is being imaged and a conjugate image of conjugate pixel of a multipixel detector during the acquisition of eight electrical interference signal values of each spot in and/or on a substrate imaged using interferometric far-field and/or near-field confocal or non-confocal microscopy. Overlay errors are errors in the set of four conjugate images of a respective set of conjugate detector pixels relative to the spot  
15 being imaged.

Another advantage is that when operating in the scanning mode there is a reduced sensitivity to effects of pinhole-to-pinhole variations in properties of a conjugate set of pinholes used in a confocal microscopy system that are conjugate to a spot in or on the substrate being imaged at different times during the scan.

20 Another advantage is that when operating in the scanning mode there is a reduced sensitivity to effects of pixel-to-pixel variation of properties within a set of conjugate pixels that are conjugate to a spot in or on the substrate being imaged at different times during the scan.

Another advantage is that when operating in the scanning mode there is  
25 reduced sensitivity to effects of pulse to pulse variations of a respective conjugate set of pulses of input beam 24 to the interferometer system.

The pinholes and pixels of a multipixel detector of a set of conjugate pinholes and conjugate pixels of a multipixel detector may comprise contiguous pinholes of an array of pinholes and/or contiguous pixels of a multipixel detector or  
30 may comprise selected pinholes from an array of pinholes and pixels from an array of pixels wherein the separation between the selected pinholes is an integer number

of pinhole spacings and the separation between an array of respective pixels corresponds to an integer number of pixel spacings without loss of lateral and/or longitudinal resolution and signal-to-noise ratios. The corresponding scan rate would be equal to the integer times the spacing of spots on the measurement object  
5 **60** conjugate to set of conjugate pinholes and/or set of conjugate pixels divided by the read out rate of the multipixel detector. This property permits a significant increase in through-put for an interferometric far-field or near-field confocal or non-confocal microscope with respect to the number of spots in and/or on a substrate imaged per unit time.

10 Referring to a variant of the quad-homodyne detection method, a set of eight electrical interference signal values are obtained for each spot on and/or in substrate **60** being imaged with four pulse trains from source **18** and beam-conditioner **22** configured to generate input beam **24** with eight frequency components. The set of eight electrical interference signal values  $S_{n,j}$ ,  $n = 1, 2$  and  $j = 1, 2, 3, 4$ , used for  
15 obtaining conjugated quadratures of fields for a single a spot on and/or in a substrate being imaged is represented for the variant of the quad-homodyne detection method within a scale factor by the formulae

$$S_{n,j} = P_{n,j} \times \sum_{m=1}^4 \left( \begin{aligned} &\xi_{n,j}^2 |A_{n,m}|^2 + \zeta_{n,j}^2 |B_{n,m}|^2 + \eta_{n,j}^2 |C_{n,m}|^2 \\ &+ \xi_{n,j} \zeta_{n,j} 2 |A_{n,m}| |B_{n,m}| \cos \varphi_{A_{n,m} B_{n,m}} \kappa_{m,j} \\ &+ \zeta_{n,j} \eta_{n,j} 2 |B_{n,m}| |C_{n,m}| \cos \varphi_{B_{n,m} C_{n,m}} \kappa_{m,j} \\ &+ \kappa_{n,m,j} \xi_{n,j} \eta_{n,j} |A_{n,m}| |C_{n,m}| \\ &\times \left\{ \left[ 1 - (-1)^m \right] \cos \varphi_{A_{n,m} C_{n,m}} + \left[ 1 + (-1)^m \right] \sin \varphi_{A_{n,m} C_{n,m}} \right\} \end{aligned} \right), \quad (13)$$

20

where coefficient  $A_{n,m}$  represents the amplitude of the reference beam corresponding to the frequency component of the input beam **24** that has the index  $(n,m)$ ; coefficient  $B_{n,m}$  represent the amplitude of the background beam

corresponding to reference beam  $A_{n,m}$ ; coefficient  $C_{n,m}$  represents the amplitude of the return measurement beam corresponding to reference beam  $A_{n,m}$ ;  $P_{n,j}$  represents the integrated intensity of a first frequency component of the set of frequency components corresponding to index  $n$  of input beam 24 pulse  $j$  of a sequence of 4 pulses; and an example set of values for  $\kappa_{n,m,j}$  are expressed in terms of  $\kappa_{m,j}$  as

$$\kappa_{n,m,j} = \begin{cases} \kappa_{m,j}, & n = 1 \\ -\kappa_{m,j}, & n = 2 \end{cases} \quad (14)$$

for the example set of values for  $\kappa_{m,j}$  listed in Table 2.

Table 2

$\kappa_{m,j}$

$m \backslash j$	1	2	3	4
1	1	1	1	1
2	1	-1	1	-1
3	1	1	-1	-1
4	1	-1	-1	1

The  $\kappa_{m,j}$  are orthogonal sets with respect to  $j$ , i.e.,



$$\sum_{j=1}^4 \kappa_{m,j} \kappa_{m',j} = 4\delta_{m,m'} \quad (15)$$

where  $\delta_{m,m'}$  is the Kronecker delta defined by Equation (4).

For the variant of the quad-homodyne detection method, the interferometric  
5 signal values  $S_{1,j}$  and  $S_{2,j}$  are measured simultaneously by two different detector  
pixels for each pulse  $j$ . The distribution of the output beam **32** to two different  
pixels of detector **70** is achieved by a dispersion or polarizing element in  
interferometer system **10** such as described in cited U.S. Provisional Patent  
Application Serial No. 60/442,858 (47) and U.S. Patent Application Serial No.  
10 10/765,369, filed January 27, 2004 (ZI-47) and entitled "Apparatus and Method for  
Joint Measurements of Conjugated Quadratures of Fields of Reflected/Scattered and  
Transmitted Beams by an Object in Interferometry." In the remaining description,  
 $m = 1, 2$  will correspond to one polarization state of input beam **24** and  $m = 3, 4$  will  
correspond to the second polarization state of input beam **24** without departing from  
15 the spirit or scope of the present invention. In addition, the coefficients  $A_{1,m}$ ,  $B_{1,m}$ ,  
and  $C_{1,m}$  are conjugates of the coefficients  $A_{2,m}$ ,  $B_{2,m}$ , and  $C_{2,m}$ , respectively, for  
a single component of a conjugated quadrature for one of the polarization states of  
input beam **24**.

The relationships  $\cos \phi_{A_{n,2}C_{n,2}} = \kappa_{2,j} \sin \phi_{A_{n,2}C_{n,2}}$  and  
20  $\cos \phi_{A_{n,4}C_{n,4}} = \kappa_{4,j} \sin \phi_{A_{n,4}C_{n,4}}$  have been used in deriving Equation (13) without  
departing from either the scope or spirit of the present invention since  
 $\cos \phi_{A_{n,2}C_{n,2}} = \pm \sin \phi_{A_{n,1}C_{n,1}}$  and  $\cos \phi_{A_{n,4}C_{n,4}} = \pm \sin \phi_{A_{n,3}C_{n,3}}$  by control of the relative  
phase shifts between corresponding reference and return measurement beam  
components in beam **32**.

25 It has also been assumed in Equation (13) that the ratios  $|A_{n,2}|/|A_{n,1}|$  and  
 $|A_{n,4}|/|A_{n,3}|$  are not dependent on  $j$  or on the value of  $P_{n,j}$ . In order to simplify

the representation of  $S_{n,j}$  so as to project the important features without departing from either the scope or spirit of the present invention, it is also assumed in Equation (13) that the corresponding ratios of the amplitudes of the return measurement beams are not dependent on  $j$  or on the value of  $P_{n,j}$ . However, the ratios  $|C_{n,2}|/|C_{n,1}|$  and  $|C_{n,4}|/|C_{n,3}|$  will be different from the ratio  $|A_{n,2}|/|A_{n,1}|$  and  $|A_{n,4}|/|A_{n,3}|$ , respectively, when the ratios of the amplitudes of the measurement beam components corresponding to  $A_{n,2}$  and  $A_{n,1}$  are different from the ratio  $|A_{n,2}|/|A_{n,1}|$  and corresponding to  $A_{n,4}$  and  $A_{n,3}$  are different from the ratio  $|A_{n,4}|/|A_{n,3}|$ .

The change in phase  $\varphi_{A_{n,m}B_{n,m}\kappa_{m,j}}$  for a change in  $\kappa_{m,j}$  may be different from  $\pi$  for embodiments where phase shifts are introduced between the arrays of reference and measurement beams by changing the frequency of an input beam component. It may be of value in evaluating the effects of the background beams to note that the factor  $\cos \varphi_{B_{n,m}C_{n,m}\kappa_{m,j}}$  may be written as

$$\cos \left[ \varphi_{A_{n,m}C_{n,m}} + \left( \varphi_{B_{n,m}C_{n,m}\kappa_{m,j}} - \varphi_{A_{n,m}C_{n,m}} \right) \right] \text{ where the phase difference } \left( \varphi_{B_{n,m}C_{n,m}\kappa_{m,j}} - \varphi_{A_{n,m}C_{n,m}} \right) \text{ is the same as the phase } \varphi_{A_{n,m}B_{n,m}\kappa_{m,j}}, \text{ i.e.,}$$

$$\cos \varphi_{B_{n,m}C_{n,m}\kappa_{m,j}} = \cos \left( \varphi_{A_{n,m}C_{n,m}} + \varphi_{A_{n,m}B_{n,m}\kappa_{m,j}} \right).$$

It is evident from inspection of Equation (13) that the components of conjugated quadratures  $\kappa_{m,j}|C_{n,m}|\cos \varphi_{A_{n,m}C_{n,m}}$  and  $\kappa_{m,j}|C_{n,m}|\sin \varphi_{A_{n,m}C_{n,m}}$  are orthogonal over the range of  $m=1,2,3,4$  for  $m \neq m'$  since  $\kappa_{m,j}$  and  $\kappa_{m',j}$  are orthogonal as expressed by Equation (15).

Information about the conjugated quadratures  $|C_{1,1}|\cos \varphi_{A_{1,1}C_{1,1}}$  and  $|C_{1,1}|\sin \varphi_{A_{1,1}C_{1,1}}$  and conjugated quadratures  $|C_{1,3}|\cos \varphi_{A_{1,3}C_{1,3}}$  and  $|C_{1,3}|\sin \varphi_{A_{1,3}C_{1,3}}$

are obtained from  $S_{n,j}$  using a digital filter that is based on the orthogonality properties of  $\kappa_{m,j}$  expressed by Equation (15). The definition of the digital filter  $F_m(\mathbf{S})$  is

$$F_m(\mathbf{S}) = \sum_{j=1}^4 \sum_{n=1}^2 \kappa_{n,m',j} \frac{S_{n,j}}{P_{n,j}' \xi_{n,j}'^2} \quad (16)$$

where  $\mathbf{S}$  is matrix comprising elements  $S_{n,j}$  and  $\xi_{n,j}'$  and  $P_{n,j}'$  are values used in the digital filter to represent  $\xi_{n,j}$  and  $P_{n,j}$ , respectively. Equation (16) is written in terms of  $\kappa_{m,j}$  using the definition of  $\kappa_{n,m,j}$  given by Equation (14) as

$$F_{m'}(\mathbf{S}) = \sum_{j=1}^4 \kappa_{m',j} \left\{ \frac{S_{1,j}}{P_{1,j}' \xi_{1,j}'^2} - \frac{S_{2,j}}{P_{2,j}' \xi_{2,j}'^2} \right\}. \quad (17)$$

Using Equation (13) for expressions for  $S_{n,j}$ , Equation (17) is written in terms of three parts,

$$F_{m'}(\mathbf{S}) = F_{1,m'}(\mathbf{S}) + F_{2,m'}(\mathbf{S}) + F_{3,m'}(\mathbf{S}) \quad (18)$$

where

$$\begin{aligned}
 F_{1,m'}(\mathbf{S}) = & \\
 & + \sum_{j=1}^4 \kappa_{m',j} \left\{ \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\xi_{1,j}^2}{\xi'_{1,j}^2} \right) \sum_{m=1}^4 |A_{1,m}|^2 - \left( \frac{P_{2,j}}{P'_{2,j}} \right) \left( \frac{\xi_{2,j}^2}{\xi'_{2,j}^2} \right) \sum_{m=1}^4 |A_{2,m}|^2 \right\} \\
 & + \sum_{j=1}^4 \kappa_{m',j} \left\{ \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\zeta_{1,j}^2}{\xi'_{1,j}^2} \right) \sum_{m=1}^4 |B_{1,m}|^2 - \left( \frac{P_{2,j}}{P'_{2,j}} \right) \left( \frac{\zeta_{2,j}^2}{\xi'_{2,j}^2} \right) \sum_{m=1}^4 |B_{2,m}|^2 \right\} \quad (19) \\
 & + \sum_{j=1}^4 \kappa_{m',j} \left\{ \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\eta_{1,j}^2}{\xi'_{1,j}^2} \right) \sum_{m=1}^4 |C_{1,m}|^2 - \left( \frac{P_{2,j}}{P'_{2,j}} \right) \left( \frac{\eta_{2,j}^2}{\xi'_{2,j}^2} \right) \sum_{m=1}^4 |C_{2,m}|^2 \right\},
 \end{aligned}$$

$$\begin{aligned}
 F_{2,m'}(\mathbf{S}) = & 2 \\
 & \times \left[ \sum_{m=1,3} |A_{1,m}| |C_{1,m}| \cos \varphi_{A_{1,m} C_{1,m}} \sum_{j=1}^4 \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\xi_{1,j} \eta_{1,j}}{\xi'_{1,j}^2} \right) \kappa_{m',j} \kappa_{m,j} \right. \\
 & \left. + \sum_{m=1,3} |A_{2,m}| |C_{2,m}| \cos \varphi_{A_{2,m} C_{2,m}} \sum_{j=1}^4 \left( \frac{P_{2,j}}{P'_{2,j}} \right) \left( \frac{\xi_{2,j} \eta_{2,j}}{\xi'_{2,j}^2} \right) \kappa_{m',j} \kappa_{m,j} \right] \quad (20) \\
 & + 2 \\
 & \times \left[ \sum_{m=2,4} |A_{1,m}| |C_{1,m}| \sin \varphi_{A_{1,m} C_{1,m}} \sum_{j=1}^4 \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\xi_{1,j} \eta_{1,j}}{\xi'_{1,j}^2} \right) \kappa_{m',j} \kappa_{m,j} \right. \\
 & \left. + \sum_{m=2,4} |A_{2,m}| |C_{2,m}| \sin \varphi_{A_{2,m} C_{2,m}} \sum_{j=1}^4 \left( \frac{P_{2,j}}{P'_{2,j}} \right) \left( \frac{\xi_{2,j} \eta_{2,j}}{\xi'_{2,j}^2} \right) \kappa_{m',j} \kappa_{m,j} \right],
 \end{aligned}$$

$$\begin{aligned}
 F_{3,m'}(\mathbf{S}) = & \\
 & \times 2 \left[ \sum_{m=1}^4 |A_{1,m}| |B_{1,m}| \cos \varphi_{A_{1,m} B_{1,m}} \kappa_{m,j} \sum_{j=1}^4 \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\xi_{1,j} \zeta_{1,j}}{\xi'_{1,j}{}^2} \right) \kappa_{m',j} \right. \\
 & \left. - \sum_{m=1}^4 |A_{2,m}| |B_{2,m}| \cos \varphi_{A_{2,m} B_{2,m}} \kappa_{m,j} \sum_{j=1}^4 \left( \frac{P_{2,j}}{P'_{2,j}} \right) \left( \frac{\xi_{2,j} \zeta_{2,j}}{\xi'_{2,j}{}^2} \right) \kappa_{m',j} \right] \\
 & + 2 \left[ \sum_{m=1}^4 |B_{1,m}| |C_{1,m}| \cos \varphi_{B_{1,m} C_{1,m}} \kappa_{m,j} \sum_{j=1}^4 \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\zeta_{1,j} \eta_{1,j}}{\xi'_{1,j}{}^2} \right) \kappa_{m',j} \right. \\
 & \left. - \sum_{m=1}^4 |B_{2,m}| |C_{2,m}| \cos \varphi_{B_{2,m} C_{2,m}} \kappa_{m,j} \sum_{j=1}^4 \left( \frac{P_{2,j}}{P'_{2,j}} \right) \left( \frac{\zeta_{2,j} \eta_{2,j}}{\xi'_{2,j}{}^2} \right) \kappa_{m',j} \right] \quad (21)
 \end{aligned}$$

In order to make the properties of the quad-homodyne detection method more easily identified, it is instructive to rewrite  $F_{2,m'}(\mathbf{S})$  given by Equation (20) as

5

$$\begin{aligned}
 F_{2,m'}(\mathbf{S}) = & 2 \sum_{m=1,3} |A_{1,m}| |C_{1,m}| \cos \varphi_{A_{1,m} C_{1,m}} \\
 & \times \left[ \sum_{j=1}^4 \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\xi_{1,j} \eta_{1,j}}{\xi'_{1,j}{}^2} \right) \kappa_{m',j} \kappa_{m,j} \right. \\
 & \left. + \left[ \frac{|A_{2,m}| |C_{2,m}|}{|A_{1,m}| |C_{1,m}|} \right] \sum_{j=1}^4 \left( \frac{P_{2,j}}{P'_{2,j}} \right) \left( \frac{\xi_{2,j} \eta_{2,j}}{\xi'_{2,j}{}^2} \right) \kappa_{m',j} \kappa_{m,j} \right] \\
 & + 2 \sum_{m=2,4} |A_{1,m}| |C_{1,m}| \sin \varphi_{A_{1,m} C_{1,m}} \\
 & \times \left[ \sum_{j=1}^4 \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\xi_{1,j} \eta_{1,j}}{\xi'_{1,j}{}^2} \right) \kappa_{m',j} \kappa_{m,j} \right. \\
 & \left. + \left[ \frac{|A_{2,m}| |C_{2,m}|}{|A_{1,m}| |C_{1,m}|} \right] \sum_{j=1}^4 \left( \frac{P_{2,j}}{P'_{2,j}} \right) \left( \frac{\xi_{2,j} \eta_{2,j}}{\xi'_{2,j}{}^2} \right) \kappa_{m',j} \kappa_{m,j} \right] \quad (22)
 \end{aligned}$$

where the relationships  $\cos \varphi_{A_{2,m}C_{2,m}} = \cos \varphi_{A_{1,m}C_{1,m}}$  and

$$\sin \varphi_{A_{2,m}C_{2,m}} = \sin \varphi_{A_{1,m}C_{1,m}}.$$

The parameters

5

$$\left[ \left( \frac{|A_{1,m+1}|}{|A_{1,m}|} \right) \left( \frac{|C_{1,m+1}|}{|C_{1,m}|} \right) \right], \quad m = 1, 2; \quad (23)$$

$$\left[ \left( \frac{|A_{2,m}|}{|A_{1,m}|} \right) \left( \frac{|C_{2,m}|}{|C_{1,m}|} \right) \right], \quad m = 1, \dots, 4; \quad (24)$$

10 need to be determined in order complete the determination of a conjugated quadratures. The first set of parameters listed in Equation (23) can be measured for example by introducing  $\pi/2$  phase shifts into the relative phase of the reference beams and the measurement beams and repeating the measurement for the conjugated quadratures. The ratios of the amplitudes of the conjugated quadratures

15 corresponding to  $\left( \sin \varphi_{A_{1,m}C_{1,m}} / \cos \varphi_{A_{1,m}C_{1,m}} \right)$  and  $\left( \sin \varphi_{A_{3,m}C_{3,m}} / \cos \varphi_{A_{3,m}C_{3,m}} \right)$  from the first measurement divided by the ratios of the amplitudes of the conjugated quadratures corresponding to  $\left( \sin \varphi_{A_{1,m}C_{1,m}} / \cos \varphi_{A_{1,m}C_{1,m}} \right)$  and  $\left( \sin \varphi_{A_{3,m}C_{3,m}} / \cos \varphi_{A_{3,m}C_{3,m}} \right)$ , respectively, from the second measurement are equal

to

20

$$\left[ \left( \frac{|A_{1,m+1}|}{|A_{1,m}|} \right) \left( \frac{|C_{1,m+1}|}{|C_{1,m}|} \right) \right]^2, \quad m = 1, 2. \quad (25)$$

The second set of parameters listed in Equation (24) can be measured by operating the quad-homodyne detection method in a bi-homodyne detection method

for each of the two conjugated quadratures. To operate in a bi-homodyne detection method for one of the conjugated quadratures, the amplitudes of the frequency components of input beam **24** that do not correspond to the one of the conjugated quadratures is set equal to zero and the one of the conjugated quadratures is measured for  $n = 1$  and 2. The ratio of the amplitudes of the measured conjugated quadratures for  $n = 1$  and 2 yields information such as specified in Equation (24).

Note that certain of the factors in  $F_{2,m'}(\mathbf{S})$  given by Equation (20) have nominal values of 4 within scale factors, *e.g.*,

$$\begin{aligned} \sum_{j=1}^4 \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\xi_{1,j} \eta_{1,j}}{\xi_{1,j}'^2} \right) \kappa_{m',j} \kappa_{m,j} &\equiv 4 \delta_{m',m} , \\ \sum_{j=1}^4 \left( \frac{P_{2,j}}{P'_{2,j}} \right) \left( \frac{\xi_{2,j} \eta_{2,j}}{\xi_{2,j}'^2} \right) \kappa_{m',j} \kappa_{m,j} &\equiv 4 \delta_{m',m} , \end{aligned} \quad (26)$$

where  $\delta_{m,m'}$  is the Kronecker delta defined by Equation (4). The scale factors corresponds to the average values for the ratios of  $(\xi_{1,j}')^2 / (\xi_{1,j} \eta_{1,j})$  and  $(\xi_{2,j}')^2 / (\xi_{2,j} \eta_{2,j})$  assuming that the average values of  $P_j / P_j' \equiv 1$ .

Certain other of the factors in  $F_{1,m'}(\mathbf{S})$  given by Equation (19) have nominal values of zero, *e.g.*,

$$\begin{aligned}
 \sum_{j=1}^4 \kappa_{m',j} \left( \frac{P_{n,j}}{P'_{n,j}} \right) \left( \frac{\xi_{n,j}^2}{\xi'_{n,j}^2} \right) &\equiv 0, \\
 \sum_{j=1}^4 \kappa_{m',j} \left( \frac{P_{n,j}}{P'_{n,j}} \right) \left( \frac{\zeta_{n,j}^2}{\xi'_{n,j}^2} \right) &\equiv 0, \\
 \sum_{j=1}^4 \kappa_{m',j} \left( \frac{P_{1,j}}{P'_{1,j}} \right) \left( \frac{\eta_{1,j}^2}{\xi'_{1,j}^2} \right) &\equiv 0; \quad n = 1, 2.
 \end{aligned} \tag{27}$$

The remaining factors in  $F_{3,m'}(\mathbf{S})$  given by Equation (21) have nominal values of zero, *e.g.*,

5

$$\begin{aligned}
 \sum_{j=1}^4 \left( \frac{P_{n,j}}{P'_{n,j}} \right) \left( \frac{\xi_{n,j} \zeta_{n,j}}{\xi'_{n,j}^2} \right) \kappa_{m',j} &\equiv 0, \\
 \sum_{j=1}^4 \left( \frac{P_{n,j}}{P'_{n,j}} \right) \left( \frac{\zeta_{n,j} \eta_{n,j}}{\xi'_{n,j}^2} \right) \kappa_{m',j} &\equiv 0; \quad n = 1, 2.
 \end{aligned} \tag{28}$$

The two potentially largest terms in Equations (19) are generally the terms that have the factors  $\sum_{m=1}^4 |A_{n,m}|^2$  and  $\sum_{m=1}^4 |B_{n,m}|^2$ ,  $n = 1, 2$ . However, the corresponding terms are substantially eliminated using the quad-homodyne detection method through second order effects as result of the properties of the factors listed in Equation (27).

The largest contribution from effects of background is contained in  $F_{3,m'}(\mathbf{S})$  given by Equation (21) and is represented by the contribution to the interference term between the reference beam and the portion of the background beam generated by the measurement beam **30A**. This portion of the effect of the background can be measured in embodiments of the quad-homodyne detection method by measuring the corresponding conjugated quadratures of the portion of the background with the



return measurement beam component of beam 32 set equal to zero, *i.e.*, measuring the respective electrical interference signals  $S_j$  with substrate 60 removed and with either  $|A_{2,m}|=0$  or  $|A_{1,m}|=0$  and visa versa  $|A_4|=0$   $|A_3|=0$ . The measured conjugated quadratures of the portion of the effect of the background can than be  
5 used to compensate for the respective background effects beneficially in an end use application if required.

Information about the largest contribution from effects of background amplitude  $\xi_{n,j}\zeta_{n,j}A_{n,m}B_{n,m}$  and phase  $\phi_{A_{n,m}B_{n,m}\kappa_{m,j}}$ , *i.e.*, the interference term between the reference beam and the portion of background beam generated by the  
10 measurement beam 30A, may also be obtained by measuring  $S_{n,j}$  as a function of relative phase shift between reference beam and the measurement beam 30A with substrate 60 removed and  $A_{n,p}=0$ ,  $p \neq m$ , and Fourier analyzing the measured values of  $S_{n,j}$ . Such information can be used to help identify the origin of the respective background.

15 Other techniques may be incorporated into embodiments of the quad-homodyne detection method to reduce and/or compensate for the effects of background beams without departing from either the scope or spirit of the present invention such as described in cited U.S. Patent Nos. 5,760,901, 5,915,048, and 6,480,285 B1.

20 The selection of values for  $\xi'_{n,j}$  is based on information about coefficients  $\xi_{n,j}$  that may be obtained by measuring the  $S_{n,j}$  for  $n=1,2$ ,  $j=1,2,3,4$  with only the reference beam present in the interferometer system. In certain embodiments, this may correspond simply blocking the measurement beam components of input beam 24 and in certain other embodiments, this may correspond to simply  
25 measuring the  $S_{n,j}$  for  $n=1,2$ ,  $j=1,2,3,4$  with substrate 60 removed. A test of the correctness of a set of values for  $\xi'_{n,j}$  is the degree to which the  $\sum_{m'=1}^4 |A_{n,m'}|^2$ ,  $n=1,2$ , terms in Equation (19) are zero.

Information about coefficients  $\xi_{n,j}\eta_{n,j}$  for  $n = 1, 2$ ,  $j = 1, 2, 3, 4$  may be obtained for example by scanning an artifact past the respective four conjugate spots corresponding to the respective eight conjugate detector pixels with one of the  $A_{n,p} \neq 0$  and the remaining  $A_{n,p} = 0$  for  $p = 1, 2, 3, 4$  and measuring the conjugated

5 quadratures component  $|A_{n,p}||C_{n,p}|\cos\varphi_{A_{n,p}C_{n,p}}$  or  $|A_{n,p}||C_{n,p}|\sin\varphi_{A_{n,p}C_{n,p}}$ , respectively. A change in the amplitude of the  $|A_{n,p}||C_{n,p}|\cos\varphi_{A_{n,p}C_{n,p}}$  or  $|A_{n,p}||C_{n,p}|\sin\varphi_{A_{n,p}C_{n,p}}$  term corresponds to a variation in  $\xi_{n,j}\eta_{n,j}$  as a function of  $n$  and  $j$ . Information about the coefficients  $\xi_{n,j}\eta_{n,j}$  for  $n = 1, 2$ ,  $j = 1, 2, 3, 4$  may be used for example to monitor the stability of one or more elements of

10 interferometer system 10.

The variant of the quad-homodyne detection method is a robust technique for the determination of conjugated quadratures of fields. First, the conjugated quadratures  $|A_{n,p}||C_{n,p}|\cos\varphi_{A_{n,p}C_{n,p}}$  and  $|A_{n,p}||C_{n,p}|\sin\varphi_{A_{n,p}C_{n,p}}$  for each of the orthogonally polarized beams are the primary terms in the digitally filtered values

15  $F_m(S)$  as expressed by Equation (17) since as noted in the discussion with respect to Equations (19), the terms with the factors  $\sum_{m=1}^4 |A_{n,m}|^2$  and  $\sum_{m=1}^4 |B_{n,m}|^2$ ,  $n = 1, 2$ , are substantially zero.

Secondly, the coefficients of  $|A_{n,p}||C_{n,p}|\cos\varphi_{A_{n,p}C_{n,p}}$  and  $|A_{n,p}||C_{n,p}|\sin\varphi_{A_{n,p}C_{n,p}}$  terms in Equations (20) are identical. Thus highly accurate

20 measurements of the interference terms between the return measurement beam and the reference beam with respect to amplitudes and phases, *i.e.*, highly accurate measurements of conjugated quadratures of fields can be measured wherein first order variations in  $\xi_{n,j}$  and first order errors in normalizations such as  $(P_{n,j}/P'_{n,j})$  and  $(\xi_{n,j}^2/\xi'_{n,j}^2)$  enter in only second or higher order. This property translates

into a significant advantage. Also, the contributions to each component of the conjugated quadratures  $|C_{n,p}|\cos\varphi_{A_{n,p}C_{n,p}}$  and  $|C_{n,p}|\sin\varphi_{A_{n,p}C_{n,p}}$  for each of the orthogonally polarized beams from a respective set of eight electrical interference signal values have the same window function and thus are obtained as jointly  
5 determined values.

Other distinguishing features of the variant of the quad-homodyne detection method are evident in Equations (17), (19), (20), and (21): the coefficients of the conjugated quadratures  $|A_{n,p}||C_{n,p}|\cos\varphi_{A_{n,p}C_{n,p}}$  and  $|A_{n,p}||C_{n,p}|\sin\varphi_{A_{n,p}C_{n,p}}$  for  
10 each of the orthogonally polarized beams in respective equations of Equations (20) are identical independent of errors in assumed values for  $\xi_{n,j}$  and  $\eta_{n,j}$ ; and the coefficients of reference intensity terms  $|A_{n,p}|^2$  can be made to be substantially zero by the selection of values for  $\xi'_{n,j}$ . Thus highly accurate values of the phases corresponding to conjugated quadratures can be measured with first order variations in  $\xi_{n,j}$  and first order errors in normalizations such as  $(P_{n,j}/P'_{n,j})$  and  
15  $(\xi_{n,j}^2/\xi'_{n,j}{}^2)$  entering in only through some high order effect.

It is also evident that since the conjugated quadratures of fields of orthogonally polarized beams are obtained jointly when using the variant of the quad-homodyne detection method, there is a significant reduction in the potential for an error in tracking phase as a result of a phase redundancy unlike the situation  
20 possible in traditional homodyne detection of conjugated quadratures of fields.

There are a number of advantages of the variant of the quad-homodyne detection method as a consequence of the conjugated quadratures of fields being jointly acquired quantities.

One advantage of the variant of the quad-homodyne detection method in  
25 relation to the variant of the bi-homodyne detection method is a factor of two increase in throughput.

Another advantage is a reduced sensitivity the effects of an overlay error of a spot in or on the substrate that is being imaged and a conjugate image of a pixel of a conjugate set of pixels of a multipixel detector during the acquisition of the eight electrical interference signal values of each spot in and/or on a substrate imaged  
5 using interferometric far-field and/or near-field confocal or non-confocal microscopy. Overlay errors are errors in the set of eight conjugate images of a respective set of conjugate detector pixels relative to the spot being imaged.

Another advantage is that when operating in the scanning mode there is a reduced sensitivity to effects of pinhole-to-pinhole variations in properties of a  
10 conjugate set of pinholes used in a confocal microscopy system that are conjugate to a spot in or on the substrate being imaged at different times during the scan.

Another advantage is that when operating in the scanning mode there is a reduced sensitivity to effects of pixel-to-pixel variation of properties within a set of conjugate pixels that are conjugate to a spot in or on the substrate being imaged at  
15 different times during the scan.

Another advantage is that when operating in the scanning mode there is reduced sensitivity to effects of pulse to pulse variations of a respective conjugate set of pulses of input beam 24 to the interferometer system.

The pinholes and pixels of a multipixel detector of a set of conjugate  
20 pinholes and conjugate pixels of a multipixel detector may comprise contiguous pinholes of an two arrays of pinholes and/or contiguous pixels of a multipixel detector or may comprise selected pinholes from two arrays of pinholes and pixels from arrays of pixels wherein the separation between selected pinholes is an integer number of pinhole spacings and the separation between an array of respective pixels  
25 corresponds to an integer number of pixel spacings without loss of lateral and/or longitudinal resolution and signal-to-noise ratios. The corresponding scan rate would be equal to the integer times the spacing of spots on the measurement object  
60 conjugate to set of conjugate pinholes and/or set of conjugate pixels divided by the read out rate of the multipixel detector. This property permits a significant  
30 increase in through-put for an interferometric far-field or near-field confocal or non-

confocal microscope with respect to the number of spots in and/or on a substrate imaged per unit time.

There is a variant of the single-homodyne detection method wherein a set of eight electrical interference signal values are obtained for each spot on and/or in substrate **60** being imaged with two pulse trains from source **18** and beam-conditioner **22**. For the variant of the single-homodyne detection method, the interferometric signal values  $S_{1,j}$ ,  $S_{2,j}$ ,  $S_{3,j}$ , and  $S_{4,j}$  are measured simultaneously by four different detector pixels for each pulse  $j$ . The distribution of the output beam **32** to four different pixels of detector **70** is achieved by a dispersion or polarizing elements in interferometer system **10** such as described in cited U.S. Provisional Patent Application Serial No. 60/442,858 (47) and U.S. Patent Application Serial No. 10/765,369, filed January 27, 2004 (ZI-47) and entitled "Apparatus and Method for Joint Measurements of Conjugated Quadratures of Fields of Reflected/Scattered and Transmitted Beams by an Object in Interferometry."

The variant of the single-homodyne detection method is equivalent to the single-homodyne detection method being used to obtain and analyze interferometric signal values, *e.g.*,  $S_{1,j}$ ,  $S_{2,j}$ ,  $S_{3,j}$ , and  $S_{4,j}$ . As a result of the equivalence of each of the four portions of the variant of the single-homodyne detection method with the single-homodyne detection method, the remaining formal portions of the description of the variant of the single-homodyne detection method is the same as the corresponding portion of the description for the single-homodyne detection method.

There is a variant of the double-homodyne detection method wherein a set of eight electrical interference signal values are obtained for each spot on and/or in substrate **60** being imaged with a single pulse train from source **18** and beam-conditioner **22**. For the variant of the double-homodyne detection method, the interferometric signal values  $S_{1,j}$ ,  $j = 1, 2, \dots, 8$ , are measured simultaneously by eight different detector pixels for the single pulse. The distribution of the output beam **32** to four different pixels of detector **70** is achieved by a dispersion or polarizing elements in interferometer system **10** such as described in cited U.S.

Provisional Patent Application Serial No. 60/442,858 (ZI-47) and U.S. Patent Application Serial No. 10/765,369, filed January 27, 2004 (ZI-47) and entitled "Apparatus and Method for Joint Measurements of Conjugated Quadratures of Fields of Reflected/Scattered and Transmitted Beams by an Object in Interferometry."

The variant of the double-homodyne detection method is equivalent to the double-homodyne detection method being used to obtain and analyze the interferometric signal values, *e.g.*,  $S_{1,j}$ ,  $j = 1, 2, \dots, 8$ . As a result of the equivalence of each of the eight portions of the variant of the double-homodyne detection method with the double-homodyne detection method, the remaining formal portions of the description of the variant of the double-homodyne detection method is the same as the corresponding portion of the description of the double-homodyne detection method.

A first embodiment is shown schematically in Fig. 2a. The first embodiment comprises a first imaging system generally indicated as numeral 110, pinhole array beam-splitter 112, detector 170, and a second imaging system generally indicated as numeral 210. The second imaging system 210 is low power microscope having a large working distance, *e.g.* Nikon ELWD and SLWD objectives and OlympusLWD, ULWD, and ELWD objectives.

In the first embodiment, the difference in the optical path length of a reference beam and a measurement beam is a relatively large non-zero value, *e.g.* 0.2 m. The difference in the optical path length of the reference and measurement beams in interferometric measurements is normally kept a minimum value. However, in certain interferometric far-field confocal microscopes the difference in the optical path length of the reference and measurement beams is a relatively large value such as described in commonly owned U.S. Provisional Patent Application No. 60/442,982 (ZI-45) entitled "Interferometric Confocal Microscopy Incorporating Pinhole Array Beam-Splitter" and U.S. Patent Application No. 10/765,229, filed January 27, 2004 (ZI-45) and also entitled "Interferometric Confocal Microscopy Incorporating Pinhole Array Beam-Splitter" both of which are by Henry A. Hill. The contents of both of the U.S.

Provisional Patent Application and the U.S. Patent Application are incorporated herein in their entirety by reference.

The first imaging system **110** is shown schematically in Fig. **2b** and comprises the interferometric confocal microscopy system described in cited U.S. Provisional Application No. 60/442,982 (ZI-45) and in cited U.S. Patent Application No. 10/765,229, filed January 27, 2004 (ZI-45) entitled "Interferometric Confocal Microscopy Incorporating Pinhole Array Beam-Splitter." The imaging system **110** is a catadioptric system such as described in commonly owned U.S. Patent No. 6,552,852.B1 (ZI-38) entitled "Catoptric and Catadioptric Imaging System" by Henry A. Hill and U.S. Patent Application Serial No. 10/366,651 (ZI-43) entitled "Catoptric And Catadioptric Imaging Systems" by Henry A. Hill, the contents of the cited U.S. Patent and the U.S. Provisional Patent Application incorporated herein in their entirety by reference.

Catadioptric imaging system **110** comprises catadioptric elements **140** and **144**, beam splitter **148**, and convex lens **150**. Surfaces **142A** and **146A** are convex spherical surfaces with nominally the same radii of curvature and the respective centers of curvature of surfaces **142A** and **146A** are conjugate points with respect to beam splitter **148**. Surfaces **142B** and **146B** are concave spherical surfaces with nominally the same radii of curvature. The centers of curvature of surfaces **142B** and **146B** are the same as the centers of curvature of surfaces **146A** and **142A**, respectively. The center of curvature of convex lens **150** is the same as the center of curvature of surfaces **142B** and **146A**. The radius of curvature of surface **146B** is selected so as to minimize the loss in efficiency of the imaging system **110** and to produce a working distance for imaging system **110** acceptable for an end use application. The radius of curvature of convex lens **150** is selected so that the off-axis aberrations of the catadioptric imaging system **110** are compensated. The medium of elements **140** and **144** may be for example  $\text{CaF}_2$ , fused silica or commercially available glass such as SF11. The medium of convex lens **150** may be for example  $\text{CaF}_2$ , fused silica, YAG, or commercially available glass such as SF11. An important consideration in the selection of the medium of elements **140** and **144** and convex lens **150** will be the transmission properties for the frequencies of beam **124**.

Convex lens **152** has a center of curvature the same as the center of curvature of convex lens **150**. Convex lenses **150** and **152** are bonded together with pinhole beam-splitter **112** in between. Pinhole array beam-splitter **112** is shown in Fig. **2c**. The pattern of pinholes in pinhole array beam-splitter is chosen to match the requirements of an end use application. An example of a pattern is a two dimensional array of equally spaced pinholes in two orthogonal directions. The pinholes may comprise circular apertures, rectangular apertures, or combinations thereof such as described in commonly owned U.S. Patent Application No. 09/917,402 (ZI-15) entitled "Multiple-Source Arrays for Confocal and Near-field Microscopy" by Henry A. Hill and Kyle Ferrio of which the contents thereof are included herein in their entirety by reference. The spacing between pinholes of pinhole array beam-splitter **112** is shown in Fig. **2c** as  $b$  with aperture size  $a$ .

Input beam **124** is reflected by mirror **154** to pinhole beam-splitter **112** where a first portion thereof is transmitted as reference beam components of output beam **130A** and **130B** and a second portion thereof scattered as measurement beam components of beams **126A** and **126B**. The measurement beam components **126A** and **126B** are imaged as components of beams **128A** and **128B** to an array of image spots in an image plane close to substrate **160**. A portion of the components of beams **128A** and **128B** incident on substrate **160** are reflected and/or scattered as return measurement beam components of beams **128A** and **128B**. Return measurement beam components of beams **128A** and **128B** are imaged by catadioptric imaging system **110** to spots that are coincident with the pinholes of pinhole beam-splitter **112** and a portion thereof is transmitted as return measurement beam components of output beams **130A** and **130B**.

The description of the imaging properties of the catadioptric imaging system **110** is the same as the corresponding portion of the description of the imaging properties of a catadioptric imaging system given in cited U.S. Provisional Application No. 60/442,982 (ZI-45) and in cited U.S. Patent Application No. 10/765,229, filed January 27, 2004 (ZI-45) entitled "Interferometric Confocal Microscopy Incorporating Pinhole Array Beam-Splitter."

The next step is the imaging of output beams **130A** and **130B** by imaging system **210** to an array of spots that coincide with the pixels of a multipixel detector such as a CCD to generate an array of electrical interference signals **172**. The array of electrical



interference signals is transmitted to signal processor and controller **180** for subsequent processing.

The description of input beam **124** is the same as corresponding portions of the description given for input beam **24** of Fig. **1a** with source **118** configured to  
5 generate two orthogonally polarized frequency components and beam conditioner **122** configured as the beam-conditioner **22** shown in Figs. **1b** and **1c**. Input beam **124** comprises two components that have different frequencies and have the same state of plane polarization and a second set of two components that have different frequencies and have an orthogonal state of plane polarization . The frequency of  
10 each component of input beam **124** are shifted between different frequency values by beam conditioner **122** according to control signal **174** generated by electronic processor and controller **180**. .

The conjugated quadratures of fields of the return measurement beams are obtained using the variant of bi-homodyne detection as described in the description  
15 of Figs. **1a-1c** wherein sets of four measurements of the electrical interference signals **172** are made. For each of the set of four measurements of the electrical interference signals **172**, a known sequence of phase shifts is introduced between the reference beam component and the return measurement beam component of output beams **130A** and **130B**.

20 The sequence of phase shifts is generated in the first embodiment by shifting the frequencies of components of input beam **124** by beam-conditioner **122**. There is a difference in optical path length between the reference beam components and the return beam components of output beams **130A** and **130B** and as a consequence, a change in frequencies of components of input beam **124** will generate  
25 corresponding phase shifts between the reference beam components and the return beam components of output beams **130A** and **130B**. For an optical path difference  $L$  between the reference beam components and the return beam components of output beams **130A** and **130B**, there will be for a frequency shift  $\Delta f$  a corresponding phase shift  $\phi$  where

30

$$\varphi = 2\pi L \left( \frac{\Delta f}{c} \right) \quad (29)$$

and  $c$  is the free space speed of light. Note that  $L$  is not a physical path length difference and depends for example on the average index of refraction of the measurement beam and the return measurement beam paths. For an example of a phase shift  $\varphi = \pi/2$  and a value of  $L = 0.25$  m, the corresponding frequency shift  $\Delta f = 300$  MHz .

Two different modes are described for the acquisition of the electrical interference signals **172**. The first mode to be described is a step and stare mode wherein substrate **160** is stepped between fixed locations corresponding to locations where image information is desired. The second mode is a scanning mode. In the step and stare mode for generating a one-dimensional, a two-dimensional or a three-dimensional profile of substrate **160**, substrate **160** mounted in wafer chuck **184**/stage **190** is translated by stage **190**. The position of stage **190** is controlled by transducer **182** according to servo control signal **178** from electronic processor and controller **180**. The position of stage **190** is measured by metrology system **188** and position information acquired by metrology system **188** is transmitted to electronic processor and controller **180** to generate an error signal for use in the position control of stage **190**. Metrology system **188** may comprise for example linear displacement and angular displacement interferometers and cap gauges.

Electronic processor and controller **180** translates wafer stage **190** to a desired position and then acquires a set of four electrical interference signal values corresponding. After the acquisition of the sequence of four electrical interference signals, electronic processor and controller **180** then repeats the procedure for the next desired position of stage **190**. The elevation and angular orientation of substrate **160** is controlled by transducers **186A** and **186B**.

The second mode for the acquisition of the electrical interference signal values is next described wherein the electrical interference signal values are obtained with the position of stage **190** scanned in one or more directions. In the scanning mode, source **118** is pulsed at times controlled by signal **192** from signal

processor and controller **180**. Source **118** is pulsed at times corresponding to the registration of the conjugate image of pinholes of pinhole array beam-splitter **112** with positions on and/or in substrate **160** for which image information is desired.

There will be a restriction on the duration or "pulse width" of a beam pulse  $\tau_{p1}$  produced by source **120** as a result of the continuous scanning mode used in the third variant of the first embodiment. Pulse width  $\tau_{p1}$  will be a parameter that in part controls the limiting value for spatial resolution in the direction of a scan to a lower bound of

$$\tau_{p1}V, \quad (30)$$

where  $V$  is the scan speed. For example, with a value of  $\tau_{p1} = 50$  nsec and a scan speed of  $V = 0.20$  m/sec, the limiting value of the spatial resolution  $\tau_{p1}V$  in the direction of scan will be

$$\tau_{p1}V = 10 \text{ nm}. \quad (31)$$

Pulse width  $\tau_{p1}$  will also determine the minimum frequency difference that can be used in the variant of the bi-homodyne detection method. In order that there be no contributions to the electrical interference signals from interference between fields of conjugated quadratures, the minimum frequency spacing  $\Delta f_{\min}$  is expressed as

$$\Delta f_{\min} \gg \frac{1}{\tau_{p1}}. \quad (32)$$

For the example of  $\tau_{p1} = 50$  nsec,  $1/\tau_{p1} = 20$  MHz.

The frequencies of input beam **124** are controlled by signal **174** from signal processor and controller **180** to correspond to the frequencies that will yield the desired phase shifts between the reference and return measurement beam components of output beams **130A** and **130B**. In the first mode for the acquisition of the electrical interference signals **172**, the set of eight electrical interference signals are generated by a common pixel of detector **170**. In the second mode for the acquisition of electrical interference signals **172**, each set of the two sets of four electrical interference signal values are not generated by a common pixel of detector **170**. Thus in the second mode of acquisition, the differences in pixel efficiency and the differences in sizes of pinholes in pinhole array beam-splitter **112** are compensated in the signal processing by signal processor and controller **180** as described in the description of the variant of the bi-homodyne detection method given with respect to Figs. **1a-1c**. The joint measurements of conjugated quadratures of fields of orthogonally polarized beams scattered/reflected by an object are generated by electric processor and controller **180** as previously described in the description of the variant of bi-homodyne detection.

A variant of the first embodiment is described that is configured for interferometric ellipsometric measurements. The variant of the first embodiment generates joint measurements of fields of orthogonally polarized beams scattered/reflected by an object. The variant of the first embodiment comprises the apparatus and method of the first embodiment except that pinhole array **112** is replaced with an array of microgratings, *i.e.*, pinholes **162** of Fig. **2c** are replaced by a micrograting such as shown schematically in Fig. **2d**.

In ellipsometric measurements at large angle of incidence, the spatial resolution is generally many  $\lambda$ . Advantage of this is taken in the variant of the first embodiment by the replacement of a pinhole **162** with a micrograting (see Fig. **2d**). The use of a micrograting increases the fraction of the input beam that is available for the measurement beam and increases the transmission efficiency of the return measurement beam through the array of microgratings.

In Fig. **2d**, the micrograting comprises 6 transmitting apertures **260** and 6 reflecting stops **264**. Superimposed on stops **264** are phase shifters **262**. The

optical thickness of phase shifters **262** is selected so that the  $m = 0$  order of the input beam reflected by the micrograting is nominally zero. The spacing  $d$  is selected so that the  $m = \pm 1$  orders of the input beam reflected by the micrograting corresponds to the angle of incidence desired in an ellipsometric measurement. This gives a high efficiency for the coupling of the input beam to the measurement beam for both orthogonal states of components of the input beam.

To reduce the numerical aperture of lens assembly **220**, phase shifters **266** are added to the micrograting as shown in Fig. **2d**. The effective thickness of phase shifters **266** is selected so that corresponding transmitted portions of return measurement beams are phase shifted by  $\pi$ . The net result is that the transmitted return measurement beam component are  $m = \pm 1$  orders with an effective angle of refraction of approximately  $1/2$  of the desired angle of incidence in the ellipsometric measurement.

The variant of the first embodiment may comprise a stop to restrict the plane of polarization of the measurement beam at substrate **60** to substantially a single value for each of the two polarization components of the input beam. The length of apertures **260** and reflecting elements **264** in a direction out of the plane of Fig. **2d** can selected to optimize the coupling efficiency of the input beam to the desired measurement beam for ellipsometric measurements without degrading the overall spatial resolution. The stop may be placed for example at concave surface **142B** (see Fig. **2b**).

A second embodiment comprises the interferometer system of Figs. **1a-1c** with interferometer **10** comprising an interferometric near-field confocal microscope such as described in U.S. Patent No. 6,445,453 entitled "Scanning Interferometric Near-Field Confocal Microscopy" by Henry A. Hill, the contents of which are herein incorporated in their entirety by reference. .

A third embodiment and variant thereof uses the variant of the bi- and quad-homodyne detection methods, respectively, and comprises the interferometer system of Figs. **1a-1c** with interferometer **10** comprising an interferometric far-field confocal microscope such as described in cited U.S. Patent No. 5,760,901. In the third embodiment and variant thereof, source **18** and beam-conditioner **22** are

configured as a four-frequency generator and phase-shifter or an eight-frequency generator and phase-shifter, respectively. Embodiments in cited U.S. Patent No. 5,760,901 are configured to operate in either the reflection or transmission mode. The third embodiment and variant thereof has reduced effects of background  
5 because of background reduction features of cited U.S. Patent No. 5,760,901.

A fourth embodiment and variant thereof uses the variant of the bi- and quad-homodyne detection methods, respectively, and comprises the interferometer system of Figs. **1a-1c** with interferometer **10** comprising an interferometric far-field confocal microscope such as described in cited U.S. Patent No. 5,760,901 wherein  
10 the phase masks are removed. In the fourth embodiment and variant thereof, source **18** and beam-conditioner **22** are configured as a four-frequency generator and phase-shifter or as an eight-frequency generator and phase-shifter, respectively. Embodiments in cited U.S. Patent No. 5,760,901 are configured to operate in either the reflection or transmission mode. The fourth embodiment and variant thereof  
15 with the phase masks of embodiments of cited U.S. Patent No. 5,760,901 removed represent applications of confocal techniques in a basic form.

A fifth embodiment and variant thereof uses the bi-or quad-homodyne detection methods, respectively, and comprises the interferometer system of Figs. **1a-1c** with interferometer **10** comprising an interferometric far-field confocal  
20 microscope such as described in cited U.S. Patent No. 6,480,285 B1. In the fifth embodiment, source **18** and beam-conditioner **22** are configured as a four-frequency generator and phase-shifter or an eight-frequency generator and phase-shifter, respectively. Embodiments in cited U.S. Patent No. 6,480,285 B1 are configured to operate in either the reflection or transmission mode. The fifth embodiment and  
25 variant thereof has reduced effects of background because of background reduction features of cited U.S. Patent No. 6,480,285 B1.

A sixth embodiment and variant thereof uses the bi-or quad-homodyne detection method, respectively, and comprises the interferometer system of Figs. **1a-1c** with interferometer **10** comprising an interferometric far-field confocal  
30 microscope such as described in cited U.S. Patent No. 6,480,285 B1 wherein the phase masks are removed. In the sixth embodiment and variant thereof, source **18**

and beam-conditioner **22** are configured as a four-frequency generator and phase-shifter or an eight-frequency generator and phase-shifter, respectively.

Embodiments in cited U.S. Patent No. 6,480,285 B1 are configured to operate in either the reflection or transmission mode. The sixth embodiment and variant

5 thereof with the phase masks of embodiments of cited U.S. Patent No. 6,480,285 B1 removed represent applications of confocal techniques in a basic form.

A seventh embodiment and variant thereof uses the bi- or quad-homodyne detection method, respectively, comprises the interferometer system of Figs. **1a-1c** with interferometer **10** comprising an interferometric near-field confocal microscope  
10 such as described in cited U.S. Patent No. 6,445,453 entitled "Scanning Interferometric Near-Field Confocal Microscopy" by Henry A. Hill, the contents of which are herein incorporated in their entirety by reference. In the tenth embodiment and variant thereof, source **18** and beam-conditioner **22** are configured as a four-frequency generator and phase-shifter or an eight-frequency generator and  
15 phase-shifter, respectively. Embodiments in cited U.S. Patent No. 6,445,453 are configured to operate in either the reflection or transmission mode. The sixth embodiment of cited U.S. Patent No. 6,445,453 in particular is configured to operate in the transmission mode with the measurement beam separated from the reference beam and incident on the substrate being imaged by a non-confocal imaging system,  
20 *i.e.*, the measurement beam at the substrate is not an image of an array of pinholes but an extended spot. Accordingly, the corresponding embodiments of the sixth embodiment and variant thereof represent an application of bi- and quad-homodyne detection methods in non-confocal configurations for the measurement beam.

In other embodiments, interferometer **10** may comprise a interferometric  
25 apparatus such as described in U.S. Patent No. 4,685,803 entitled "Method And Apparatus For The Measurement Of The Refractive Index Of A Gas" or U.S. Patent No. 4,733,967 entitled "Apparatus Of The Measurement Of The Refractive Index Of A Gas" configured for use of the variants of either the bi- or quad-homodyne detection methods. The contents of both of the two cited U.S. Patents which are by  
30 Gary E. Sommargren are here within included in their entirety by reference.

Embodiments which comprise interferometric apparatus such as described in the two cited U.S. patents represent configurations of a non-confocal type.

In other embodiments, interferometer **10** may comprise a  $\Gamma$  monitor such as described in U.S. Patent No. 6,124,931 entitled "Apparatus And Methods For  
5 Measuring Intrinsic Optical Properties Of A Gas" by Henry A. Hill, the contents of which are here within incorporated in their entirety by reference. For the embodiments which comprise interferometric apparatus such as described in the cited U.S. patent, the described  $\Gamma$  monitor is configured for use of either the variant of the bi- or quad-homodyne detection method and the embodiments represent  
10 configurations that are of a non-confocal type.

In yet other embodiments, interferometer **10** may comprise a wavelength monitor such as described in U.S. Patent Provisional Application No. 60/337,459 entitled "A Method For Compensation For Effects Of Non-Isotropic Gas Mixtures In Single-Wavelength And Multiple-Wavelength Dispersion Interferometry" by  
15 Henry A. Hill, the contents of which are here within incorporated in their entirety reference. For embodiments which comprise interferometric apparatus such as described in the cited U.S. patent, the wavelength monitor is configured for either the variant of the bi- or quad-homodyne detection method and the embodiments represent configurations that are of a non-confocal type.

Interferometer **10** may further comprise in other embodiments any type of  
20 interferometer, *e.g.*, a differential plane mirror interferometer, a double-pass interferometer, a Michelson-type interferometer and/or a similar device such as is described in an article entitled "Differential Interferometer Arrangements For Distance And Angle Measurements: Principles, Advantages And Applications" by  
25 C. Zanoni, *VDI Berichte* Nr. **749**, 93-106 (1989) configured for use of either the variant of the bi- or quad-homodyne detection methods. Interferometer **10** may also comprise a passive zero shear plane mirror interferometer as described in the commonly owned U.S. Patent Application Serial No. 10/207,314 entitled "Passive Zero Shear Interferometers" or an interferometer with a dynamic beam steering  
30 element such as described in U.S. Patent Application with Serial No. 09/852,369 entitled "Apparatus And Method For Interferometric Measurements Of Angular



Orientation And Distance To A Plane Mirror Object" and U.S. Patent 6,271,923  
entitled "Interferometry System Having A Dynamic Beam Steering Assembly For  
Measuring Angle And Distance," all of which are by Henry A. Hill. For the in other  
embodiments of the present, the described interferometers are configured for use of  
5 either the variant of the bi- or quad-homodyne detection methods. The contents of  
the article by Zanoni and the three cited patents by Hill are included herein in their  
entirety by reference. The interferometer can be designed to monitor, for example,  
changes in optical path length, changes in physical path length, changes in  
wavelength of a beam, or changes in direction of propagation of a beam.

10 Interferometer **10** may further comprise a dispersion interferometer such as  
described in U.S. Patent No. 6,219,144 B1 entitled "Apparatus and Method for  
Measuring the Refractive Index and Optical Path Length Effects of Air Using  
Multiple-Pass Interferometry" by Henry A. Hill, Peter de Groot, and Frank C.  
Demarest, and U.S. Patent No. 6,407,816 entitled "Interferometer And Method For  
15 Measuring The Refractive Index And Optical Path Length Effects Of Air" by Peter  
de Groot, Henry A. Hill, and Frank C. Demarest, the contents of both of the cited  
patents are herein incorporated in their entirety by reference. For embodiments of  
the present application that comprise a dispersion interferometer, the described  
interferometers are configured for use of either the variant of the bi- or quad-  
20 homodyne detection method.

Other embodiments are within the following claims.